

YORK SCHOOLS FORUM MEETING

Monday 26th September 2016 – 11.00am – 1.00pm
in the Hudson Board Room – West Offices

Key	<div style="display: inline-block; width: 15px; height: 15px; background-color: #d9ead3; border: 1px solid #000; margin-bottom: 5px;"></div> Information and routine business <div style="display: inline-block; width: 15px; height: 15px; background-color: #d9ead3; background-image: linear-gradient(to bottom, #d9ead3 49%, #c7e9c0 49% 51%, #c7e9c0 51%); border: 1px solid #000; margin-bottom: 5px;"></div> Decision <div style="display: inline-block; width: 15px; height: 15px; background-color: #d9ead3; background-image: linear-gradient(to bottom, #d9ead3 49%, #c7e9c0 49% 51%, #c7e9c0 51%); background-color: #a6c9ec; border: 1px solid #000;"></div> Consultation
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	Item	Item leader	Purpose	Paperwork
1.	Welcome and update on membership	Vice-Chair	Routine business	Attached
2.	Apologies	Vice-Chair	For information	
3.	Election of Chair of the Schools Forum	Vice-Chair	For decision	
4.	Minutes of the Schools Forum meeting of 5 th July 2016	Vice-Chair	For approval	Attached
5.	Action Plan and matters arising not on the agenda	Vice-Chair	For information	Attached
6.	Schools Broadband Contract	Mike Barugh / Laura Conkar	For decision	Attached
7.	De-delegated Budgets for 2017/18	Richard Hartle	For decision	Attached
8.	Early Years National Funding Formula Consultation	Richard Hartle	For consultation	Attached
9.	Cluster Arrangements	Maxine Squire	For decision	Attached
10.	School Start Budgets 2016/17	Paul Shepherd	For information	Attached
11.	Schools Forum forward plan	Richard Hartle	For information	Attached
12.	Any Other Agreed Business	Vice-Chair		
13.	Date and time of next and future meetings: Monday 13 th February 9.00am – 11.00am Monday 3 th April 9.00am – 11.00am Monday 3 rd July 2.00pm – 4.00pm	Vice-Chair	For information	

Please send apologies to Salli Radford by email to salli.radford@york.gov.uk or by calling York 554210.

YORK SCHOOLS FORUM – MEMBERSHIP 2015/16 – FROM SEPTEMBER 2015

		<i>Name</i>	<i>Term of office – three years in all cases</i>
Schools members: 13	Four maintained (including VA and VC) primary school members including a governor representative (term of office three years)	Tracey Ralph (Westfield Primary)	1/12/14 – 31/11/17
		Karen Tatham (Burton Green Primary)	1/12/14 – 31/11/17
		Caroline Hancy (Dunnington CE Primary (VC))	1/12/14 – 31/11/17
		Andy Herbert (Clifton with Rawcliffe Primary)	1/12/14 – 31/11/17
		Ben Rich (Governor representative)	13/6/16 – 12/6/19
	Three maintained (including VA and VC) secondary school members (term of office three years)	Bill Scriven (All Saints RC School (VA))	1/12/14 – 31/11/17
		Lorna Savage (Fulford School)	1/4/15 – 31/3/18
		<i>Vacancy (Headteacher or Governor)</i>	
	Three academy members	Brian Crosby (Manor CE Academy)	1/12/14 – 31/11/17

Maintained school / academy representation to be reviewed regularly to ensure compliance with regulations.

At September 2016 maintained primary schools are over-represented by one headteacher member – this will need to be addressed by the time of the next meeting.

		Richard Ludlow (Robert Wilkinson Primary Academy)	1/7/15 – 30/6/18
		<i>Vacancy – to be confirmed prior to September meeting.</i>	
	One special school member	Cath Hindmarch	1/12/14 – 31/11/17
	One maintained nursery school member	Nicola Fox	1/12/14 – 31/11/17
	One PRU member	Tricia Head	1/12/14 – 31/11/17
Non-schools members: 2	One 16-19 representative	Alison Birkinshaw	1/12/14 – 31/11/17
	One PVI early years representative	Ken McArthur	1/12/14 – 31/11/17
TOTAL MEMBERS: 15		15	
Invitees:	Executive member for Education, Children and Young People	Cllr Stuart Rawlings	
	Director of Children's Services, Education and Skills	Jon Stonehouse	
	Assistant Director, Education and Skills	Maxine Squire	
	Head of Finance	Richard Hartle	
TOTAL INVITEES: 4		4	

CITY OF YORK SCHOOLS FORUM

Minutes of the Schools Forum held on Tuesday 5th July 2016 at 9.00am

Attendance list:

Members:

Tracey Ralph	Maintained Primary Headteacher and Chair
Nicola Fox	Maintained Nursery Representative
Caroline Hancy	Maintained Primary Headteacher
Tricia Head	Pupil Referral Unit Representative
Andy Herbert	Maintained Primary Headteacher
Ken McArthur	Early Years Sector Representative
Ben Rich	Maintained Primary Governor Representative
Bill Scriven	Maintained Secondary Headteacher (VA school)
Karen Tatham	Maintained Primary Headteacher [from 9.12am]

Observers / Advisors:

Cllr Stewart Rawlings	Elected Member for Education, Children and Young People [to 11.00am]
Richard Hartle	Head of Finance, City of York Council
Maxine Squire	Assistant Director, Education and Skills
Mark Ellis	Head of School Services [to 9.50am]
Fiona Barclay	ATL [to 9.20am]
Barbara Reagan	NUT [to 9.20am]
Steve Smith	ASCL [to 9.20am]
Mandy Swithenbank	GMB [to 9.20am]
Salli Radford	Coordinator and Clerk

The meeting began at 9.10am.

1. Welcome

The Chair welcomed everyone to the meeting, introducing the newly appointed Executive Member for Education, Children and Young People and the Maintained School Governor Representative.

It was agreed that item 9, School Budget De-delegations for 2017/18 be taken as the first agenda item.

9. School Budget De-delegations for 2017/18

Previously distributed. Richard Hartle presented the paper, advising that a steer was sought from the Forum on the additional information required to enable decisions to be made regarding de-delegations at the next meeting.

Karen Tatham joined the meeting at 9.12am.

Richard advised that £46k had been agreed as the Teacher's Panel de-delegation for 2016/17, though the Forum had limited approval of this allocation in the past pending provision of further information on the use of Panel members' time.

Steve Smith advised that information was available and could be provided to the September meeting in an anonymised form. The Chair advised that the Forum wished to see how time was proportioned and that background information would support this analysis.

It was agreed that as much information as possible would be circulated in advance of the next forum meeting in September.

In response to a question from the union representatives on future Schools Forum membership, the Chair advised that the overall number of academy members would increase by one from September, with the maintained primary group reducing by one.

It was noted that Fiona Barclay would be retiring at the end of the academic year and that no named representative had been identified yet. Interim support had been arranged. The Chair thanked the union representatives for their work in the city.

Fiona Barclay, Barbara Reagan, Steve Smith and Mandy Swithenbank left the meeting at 9.20am.

Richard outlined the de-delegations currently in place and the outlined proposals for 2017/18. The Forum discussed the proposals, querying the number of children supported by the services funded through de-delegation and the need for clarity on resource allocation.

Caroline Hancy outlined the approach taken by the South York cluster in providing their own EAL support, including the analysis by headteachers of the allocation of time and the number of children supported by the scheme.

Tricia Head advised that the Behaviour Support Service was difficult to sustain in its current model as guaranteed funding was being reduced as the number of maintained schools diminished. It was noted that primary headteachers were aware of this issue and that Tricia was considering other funding models which would need to be brought to the Forum for consideration.

Tricia advised that the current funding model for the Behaviour Support Service was based on old models and that a charging mechanism was available to academies that reflected a “pay-as-you-go” style approach rather than an annual buy-in. The Forum noted the need to address this issue as it did not provide sustainability.

It was agreed that Richard would work with Tricia on funding models for the Behaviour Support Service.

In response to a question regarding the funding of Nurture Groups, Richard advised that funding for two groups was included in the High Needs budget in 2016/17. Maxine Squire advised that High Needs funding was being considered as part of the inclusion review.

In response to a question regarding the allocation of general contingency funds, Richard advised that decisions on allocations from this fund were at the discretion of the Director of Children’s Services, Education & Skills and were reported annually to the forum.

Bill Scriven advised that the disconnection of LA services was an emerging issue, advising that All Saints RC Secondary School arranged its own HR provision and therefore was unable to access adequate legal advice or contingency funding for

staffing related issues, although the school was contributing to the contingency budget. Richard advised that all maintained schools had equal access to contingency funding regardless of where they purchased other support such as HR or legal services.

It was suggested that the charges outlined for legal support in the Services for School booklet were inadequate and needed to be clarified. Maxine advised that this was being addressed via a review of traded services, agreeing that legal services were currently bundled into the HR offer and that a more flexible service would be offered in the future though it would be necessary to increase some charges to accurately reflect costs.

The Forum discussed the issues raised, noting the LA's option to work with other LAs to increase capacity.

In response to a question regarding the contribution made by academies to the costs incurred during the admissions process, Mark Ellis advised that admissions were run by the LA and were funded from a central budget, although this may change in the future. Richard advised that as this was funded from the DSG, then both maintained schools and academies contributed to this budget. Mark further advised that appeals relating to academy admissions were run by the academies themselves but that LA support could be bought for these processes.

The Forum noted the information and the agreed work to be done in advance of the next meeting to enable decision making.

2. Apologies for absence

Apologies were received with consent, from Alison Birkinshaw – FE Representative, Brian Crosby – Academies Representative, Cath Hindmarch – Special School Representative, Richard Ludlow – Academies Representative and Lorna Savage – Maintained Secondary Headteacher.

3. Membership forecast and succession planning for Chair and Vice-Chair

Previously distributed. The Chair advised that Karen Tatham had volunteered to take the chair from September, though as Karen's school was converting to academy status this made her position on the Forum uncertain.

Richard Hartle advised on appointment processes for the academy representatives and the need for the chair to be a member of the Forum.

The Chair advised that she had spoken with the academy representatives and expressed her preference to see membership shared across the emerging Multi Academy Trusts.

The Clerk was asked to remind eligible candidates of the need to clarify academy representation for September. It was noted that Andrew Daly had offered to coordinate this process.

Maxine Squire advised that the Forum could be chaired by a governor representative, with Tricia Head advising that she had experience of this model and that it could bring an independent perspective to the work of the Forum.

The Forum discussed membership options.

Tricia Head was unanimously elected Vice-Chair of the Schools Forum:

Proposed: Tracey Ralph

Seconded: Nicola Fox

It was agreed that item 6, School Contacts – Catering, would be taken as the next agenda item.

6. School Contracts – Catering

Previously distributed. Mark Ellis advised that the current catering contract would expire in July 2017 and that he had met with representatives of each of the 36 schools currently in the contract. It was noted that c60% of this group were managing their catering at a profit and c30% at a loss.

Mark advised that it was clear that a central contract was not the best way forward in the future and that the year remaining on the current contract would allow time to plan solutions for individual schools. It was noted that the LA were not stepping away from the issue and that an information event was planned for the afternoon of 5th July to begin discussion of options. It was noted that the LA was working with schools to ensure that arrangements were secure by July 2017.

Mark advised that a number of options were outlined in the paper, which had been circulated for information, inviting comments and questions from the Forum.

A number of issues were highlighted:

- Catering staff were valued by schools as part of their overall team and required support during any transition
- Clusters required further information as some were currently reliant on ISS and therefore found the change daunting

Mark advised that the LA recognised the challenge, particularly for smaller schools, and would need to ensure that the needs of all schools were met by future arrangements.

Mark Ellis left the meeting at 9.50am.

4. Minutes of the York Schools Forum meeting of 25th April 2016

Previously distributed.

The minutes of the meeting of 25th April 2016 were agreed to be a true and accurate record and were signed by the Chair.

5. Action Plan and Matters Arising

The updated action plan was noted. There were no matters arising.

6. School Contacts - Catering

Taken earlier in the meeting.

7. School Outturn Balances 2015/16

Previously distributed. Richard Hartle presented the balances recorded on 31st March 2016, advising that this information was held for maintained schools only. It was noted that the LA “clawback” scheme was a requirement of current regulations and that any balances above permitted thresholds were assessed against criteria agreed by the Forum. Richard advised of the current thresholds:

Primary and Special Schools – 8% of revenue income

Secondary Schools – 5% of revenue income

Richard advised that the paper included information on revenue and capital reserves held by schools as well as information benchmarking York data to national and regional averages. It was noted that York data was below that of other areas, though this comparison data lagged by a year so was not up-to-date.

Richard advised that the data showed that reserves had increased by c£1m overall, with anecdotal evidence suggesting that schools were taking a cautious approach to financial management in light of the recent single-year settlements made by central government. It was noted that salary costs were expected to rise in future years.

Richard advised that one school had exceeded the applicable threshold, though it had now converted to become an academy so could not be subjected to clawback. It was noted that the total sum represented by this excess of reserves was £7,240.

Richard advised that the clawback scheme would be considered as part of the National Funding Formula consultation and was likely to be removed.

In response to a question regarding the level of reserves held by primary schools exceeding that held by secondary schools, Richard advised that this trend had emerged in recent years.

Bill Scriven asked whether this indicated that funding levels between primary and secondary schools might be due for review. Richard advised that the smaller budgets provided to primary schools brought more caution to budget management, though this could be considered as part of a future Local Funding Formula review.

The Forum discussed the balances and the reasons for the accumulation of reserves.

Caroline Hancy advised that some schools were holding funds on behalf of their cluster, with Richard advising that these committed funds were not within the scope of the clawback criteria.

In response to a question regarding the support provided to sponsored academies and whether the sponsoring MAT picked-up any financial liabilities, Richard advised that any deficit liability at the point of a sponsored conversion was retained by the LA. It was noted that this resulted in a negative impact on the remaining LA maintained schools in the city.

Richard advised that he would report to the Forum in September on the 2016/17 Start Budgets and schools moving towards a deficit position, including sponsored academy converters. It was noted that financial delegation could be removed from schools by the LA if necessary.

Richard advised that the costs of redundancies in maintained schools could be supported by the LA if they met the required criteria, though pension costs were met by schools.

The Forum discussed the issues raised, noting the possible impact on the contingency fund of budget issues in Schools Causing Concern.

The Forum further discussed the issues, noting the potential impact on overall funding if the number of sponsored conversions increased.

Maxine Squire outlined the risk assessment process used by the LA and the ongoing dialogue with the Regional Schools Commissioner (RSC). It was noted that both the LA and the RSC were able to issue a warning notice.

The School Outturn Balances were noted.

8. DSG and Schools Budget 2016/17

Previously distributed. Richard Hartle presented the paper which included an annex showing the S251 return to the DfE.

Richard advised that the paper asked the forum to consider what further information it would require prior to setting the 2017/18 Schools Budget.

In response to a question regarding the reference to Every Child Matters and other outdated language within the formula outlines, Richard advised that these references currently remained within the funding regulations and could not be unilaterally updated. It was noted that the DfE produced the narrative referred to in the paper.

In response to a question regarding line 1.2.6, Hospital Education Services, Tricia Head advised that the inclusion review group was scrutinising all High Needs funding, including Hospital Education Services. It was noted that this process was complicated but would be finalised in the autumn before being reported to the Forum. The Forum noted that this scrutiny applied to the budgets in lines 1.2.1 to 1.2.12.

Tricia queried the way in which line 1.4.1, Contribution to Combined Budgets, was broken down, scrutinised and allocated between school phases, with Richard advising that this was generally based on pupil numbers.

In response to a question regarding the broadband subsidy, Richard advised that the current broadband contract ended in 18 months and would move into the Services for Schools traded offer, with funding being allocated back to schools.

In response to a question regarding Children's Centres on school sites and the future of funding, currently set at £0.335m, Richard advised that the LA expected this budget allocation to still be required under the LATs proposals.

In response to a question regarding line 1.4.7, Prudential Borrowing Costs, and the future repayment of loans, Richard advised that borrowing was generally arranged over a 17-25 year term and that the budget would be required for a significant period of time. It was noted that Prudential Borrowing was used to allow the LA to invest some of the revenue savings generated from school mergers and closures into school buildings and infrastructure. It was noted that the fund would continue at its current level for up to 12 years. Richard advised that the mechanism provided a way of

sharing the benefits of mergers by funding both site development and reallocating resources to the funding formula to the benefit of all schools.

In response to a question regarding the status of a loan should a school convert to academy status, Richard advised that the liability would remain with the LA.

In response to a question regarding the status of a Children's Centre on an academy site, Maxine advised that the Children's Centre would continue as an LA facility.

The Chair asked whether the Children's Centres were under review, with Cllr Rawlings advising that a paper would be taken to councillors on 6th July.

Ken McArthur sought clarification of the impact on the budget of piloting the 30 hours of Early Years funding for working parents, with Richard advising that the budget for 2016/17 had been set and excluding the 30 hours pilot beginning in September 2016. Richard advised that the national rollout of the provision would take place during 2017/18, with the pilot funding therefore being accounted separately until then.

The Forum discussed the budget, noting the status of the Children's Centres and their engagement with existing academies. Maxine advised that a Delivering Differently model was in use at Haxby Road Children's Centre which supported Early Years and families. It was noted that this provision was grant-funded and delivered in partnership with Ebor MAT.

Ken advised that he had taken a proposal to the last meeting to increase funding for the universal 15 hours of childcare. Ken advised that no additional funding had been made available by the LA and that he therefore welcomed the pilot funding rate of £4.00 per hour from September. Ken advised that the pilot would, however, put pressure on places for those most in need as these were funded at a rate of £3.38 per hour.

The Chair advised that some nursery settings signposted additional hours to the childminder network. Ken advised that he had observed settings offering places at £4 per hour rather than accepting a child attracting funding at the £3.38 rate.

The Forum discussed this issue, noting the central government agenda of supporting parents returning to work rather than supporting early intervention.

Ken cautioned that some Early Years settings might fold without adequate funding support as reserves were depleted, advising that playgroup funding was also a diminishing resource. Ken advised that the impact on places would fall on the universal 15 hours of provision. Maxine advised that discussion with Barbara Mands was ongoing.

Tricia advised that the issues had been discussed at the YorOK Board and that the city was keen to support vulnerable families. Tricia advised that further discussion would be needed regarding vulnerable families and the early intervention agenda as this had become disconnected from discussion of funding and lines of responsibility.

Richard asked the Forum to confirm that it was seeking further information on:

- Hospital Education Services – with Tricia Head and Maxine Squire to address this through the inclusion review
- Children's Centres – being addressed by local area teams proposals outside of the Forum

- Funding (at £400,000) for Children Looked After and how this fund was generated and monitored to ensure best value, including its interaction with the High Needs Fund

9. De-delegated Budgets for 2017/17

Taken earlier in the meeting.

10. National Schools and Early Years Funding Formula Reviews

Covered under item 8.

11. School Innovation Plans

Previously distributed. Maxine Squire presented her proposal, advising that £125,000 had been allocated in each of the last five years to support cluster activity via a formula. Maxine advised that the proposal was to create a more flexible fund.

Maxine advised that the last meeting had identified priorities of mental health and wellbeing, with the evaluation reports of two cluster pilots included in the report as an annex.

Maxine advised that the proposal was to allocate some of the SICG fund to roll-out Wellbeing Workers across the city at a cost of £65,000. Maxine advised that this would establish the programme which would then be funded by the Clinical Commissioning Group (CCG) until at least 2020.

In response to a question regarding the decision to rollout the programme already having been made, Maxine advised that, if agreed, the SICG funding would allow the programme to attract further funding in the future.

Tricia Head advised that the scheme had to be established based on the assumption that funding would be continued, though appointments for September could be halted if not approved. Tricia advised that the operating model had been tested and that plans were in place for September.

In response to a question regarding the status of clusters, the Chair advised that they had no legal status but were a mechanism by which schools could organise geographically. Maxine further advised that nationally, schools were moving into MAT relationships and also geographically-based partnerships to enable them to meet the needs of their local communities. Maxine advised that established ways of working in York supported transition and other aspects of school life and were unlikely to change significantly despite the development of MATs.

The Forum discussed the proposal, with Tricia outlining the proposal for the scheme, which included a team employed by the LA. Maxine advised that these posts would move to the health budget in the future.

The Forum further discussed the proposal, with the Chair advising that communities would need to be served by geographical partnerships regardless of future structures.

Bill Scriven advised that the Catholic “cluster” was not recognised by the LA though it served a community across the city, with most of the primary pupils transitioning to All Saints RC Secondary School. Cllr Rawlings advised that partnership working was still needed in order to address the levels of complexity outlined.

Maxine advised that savings had been made against the School Improvement Commissioning fund and that a small fund could be created to enable bids to be made to the SICG, with this to include the Catholic group of schools. Maxine advised that this was a decision for the Forum.

Bill stated that he wanted the Catholic group to be funded equally to geographical clusters.

Maxine advised that All Saints was currently part of the Southbank Cluster and could access funding from this group. It was noted that there was no proposal to establish a separate cluster for the Anglican group of schools.

The Forum discussed the proposal, with Maxine advising that Teaching School Alliance funding had been allocated and that the SICG made recommendations on school-to-school support to the Ebor TSA. It was noted that the Schools Causing Concern fund was still retained by the SICG.

The Forum further discussed the proposals.

Cllr Rawlings left the meeting at 11.00am.

The Forum discussed funding allocation for the Catholic group of schools, with Bill stating that the argument of “double counting” schools also applied to the small schools group as it was seeking access to funding of £10,000.

Bill acknowledged that double funding was an issue and that schools should access a single cluster.

The Forum approved the allocation of £65,000 from the School Improvement Innovation Fund to support the full roll out of the CAMHs School Cluster Project from September 2016.

The Forum deferred consideration of the allocation of £10,000 to support innovative school-led projects to improve outcomes for identified groups of children to the next meeting.

The Forum asked Bill Scriven to prepare a proposal to establish a Catholic Cluster for the next meeting.

12. Schools Forum forward plan

A forward plan would be drafted for the next meeting.

13. Any Other Business

Richard Hartle advised that a letter had been received from the DfE expressing central government commitment to fair funding for schools from 2017/18. It was noted that proposals were still awaited. The letter would be shared with headteachers.

14. Date and time of the next and future meetings

Previously distributed. The meeting dates were approved.

The next meeting would take place on 26th September at 11.00am.

The meeting closed at 11.05am.

Chair

Date

SCHOOLS FORUM ACTION PLAN / ACTIVITY LOG – UPDATED SEPTEMBER 2016

Minute reference	Action	Outcome	Responsibility	Timescale	Status
11 5/7/16	A proposal to establish a Catholic Cluster is brought to the next meeting.	The Forum is able to consider cluster arrangements and funding moving forward to ensure best value and impact.	Bill Scriven	26/9/16	Covered by paper for agenda item 9
11 5/7/16	The deferred decision relating to the allocation of £10,000 to support innovative school-led projects to improve outcomes for identified groups of children is brought back to the next meeting.	The Forum is able to consider cluster arrangements and funding moving forward to ensure best value and impact.	Maxine Squire	26/9/16	Covered by paper for agenda item 9
3 5/7/16	Eligible candidates to be reminded of the need to clarify academy representation for September. Andrew Daly to coordinate this process.	The Forum is fully compliant with membership requirements and stakeholder groups are represented appropriately.	Salli Radford / Andrew Daly	26/9/16	
9 5/7/16	Funding models for the Behaviour Support Service to be produced in advance of the September Forum meeting	The Forum is assured of the sustainability of the service moving forward.	Tricia Head / Richard Hartle	26/9/16	Covered by paper for agenda item 7
9 5/7/16	Teachers' Panel information to be circulated with minutes of the meeting	The Forum is able to understand how de-delegated funds are used to support the Panel prior to making decisions for 2017/17	Steve Smith	26/7/16 carried forward to 26/9/16	Covered by paper for agenda item 7

Schools Forum 26th September 2016

Schools Broadband Contract

Background

The broadband managed service contract was signed in 2009. It was based on the aggregated requirements of all council services including schools. Merging these requirements provided economies of scale and the improved management of the estate. It did however mean that the contract was a single contract which managed all of these elements, under the same contract terms and a single service level agreement and charge.

The contract has been very effective overall but is now nearing its end date of August 2017 which necessitates a decision on how to move forward. There is an option to extend the contract for a further two years. The two years are based on an option to extend for a further year to August 2018, and then terminate or extend a further year up to August 2019. It is the intention of the council to invoke the one year extension to August 2018.

Extending for an additional year provides the opportunity to begin consultation on a new procurement exercise encompassing the requirements of all the partners which were included in the original contract, and any new partners which may wish to be included. Waiting an additional year should also ensure that the relatively young fibre market has matured allowing for more favourable pricing. Additionally invoking the extension period, may provide CYC and its partners with a respite period to consider the best route to market in light of the UK's proposed exit from the European Union.

The extension period has to cover all of the estates in the original contract. The contract price will be based on the inclusion of all the estates even if some partners (e.g. schools) choose not to continue with the service. It is not possible to break up the estate in the extension period to include some partners and exclude others. However the LA understands that some schools may wish to make their own arrangements at the end of the original contract term (August 2017). The implications of this are considered in the attached report.

The report also considers a particular issue associated with the current funding of the schools' element of the broadband contract. The current contract is part funded by a £195k pa contribution from the Schools Budget, previously agreed by the forum up to the original contract end date of August 2017. At this point, by default, this funding would return to the Individual Schools Budget and be available to allocate to all schools via the LMS Funding Formula. Therefore the forum is also asked to consider whether this contribution should continue for the proposed extension period and thereby continue to subsidise the actual charges levied to individual schools during this period. Any decision of the forum may still require DfE approval under the proposed school funding reforms expected to be introduced from April 2018.

Attachments

A detailed report from the council's Head of Information Technology on the background to, and implications of, the proposed contract extension is attached.

Action required

Members of the forum are asked to note the following:

- A one year extension period is being invoked on the contract to allow for a new procurement to be planned. Schools wishing to make their own arrangements from September 2017 are asked to advise the LA as soon as possible.
- In addition, schools wishing to terminate the current contract are advised of the following:
 - There will be a charge for the remaining term of the contract from April to August in the 2017/18 financial year.
 - If the school currently uses CYC Voice Over Internet Provider (VOIP) services, then they will have to make new telephony arrangements from September 2017.
- Schools are invited to be part of the new procurement exercise.
 - Expressions of interest are required from schools around a new service to be provided beyond the current extension period. This includes interest from schools outside of York which form part of a Multi Academy Trust.
 - Contractual arrangements for the new service will differ from previous years based on the assumption that many schools will become academies in the near future.
 - Any new service will reflect new requirements and changes in the education landscape.
- The Schools Forum is requested to consider the continuing inclusion of £195k pa of centralised DSG funding in the base budget of the schools element of the broadband managed service contract budget. The LA will then seek to gain approval from the DfE if the decision taken by the School Forum is to continue with this funding in the extension year.

Contact:

Richard Hartle

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York Schools Forum

26 September 2016

Report of the Director of Customer & Business Support Services

Managed Network Services Contract including Broadband & Telephony for Schools– Planning beyond 2017

Purpose of Report

- 1 This report presents the options available to our schools as we approach the initial contract end date of 31st August 2017. At the outset of the contract, in 2009, City of York Council (CYC) secured options to extend for a further year and a further year beyond that should it be required and remain best value and beneficial to invoke the extension period.
- 2 The education landscape has changed considerably since the current broadband service was set up, with schools continuing to transition away from local authority care into more independent Academy status. A consultation with schools will provide an important indicator of whether schools wish to continue with this service.
- 3 And in addition CYC, has increased its working relationships with other partners locally, regionally and nationally, that are opting to join in procurements or other partnership work. But it should be noted that given York's unique position regarding its expansive coverage of a ultra speed fibre network, there are very limited gains from including our connectivity requirements in joint procurement exercises, but as you would expect where it would be of benefit to we ensure this is and will be considered.
- 4 CYC is proposing to invoke a one year extension period to create the required timeline to continue and conclude the assessment of the supplier market space and best options for the next managed service contract that takes into account new requirements from schools and other partners both within the CYC boundaries and the wider region. An example of this being the growth in Multi Academy Trusts (MATs) which include schools from outside of CYC, and has extended the scope of the original broadband service. And any new managed service provision needs to be able to provide this flexibility to deliver to these new partners and customers.
- 5 It is therefore, essential that CYC ascertains through an expression of interest, the number of schools who would wish to continue to receive broadband & telephony services were appropriate from CYC prior to any procurement activities are undertaken. As this will help to shape and inform the nature and scope of the procurement and also could have an impact on its viability. Therefore, a key outcome of this report is to quantify interest from our schools to be part of a continued managed service agreement

- 6 Schools that do not wish to continue with the one year extension period on this existing contract, can enter into their own arrangements for broadband & telephony services.

Background

- 7 In March 2008, City of York Council tendered through the Official Journal of the European Union (OJEU) process for a single service provider to manage the corporate voice and data estate, the urban traffic & CCTV management network, the schools and library network and Internet connectivity. A single managed service provider (Pinacl Solutions) was awarded an 8 year contract in August 2009 to manage the whole estate.
- 8 Pinacl Solutions were selected as their blend of cost and innovative proposal although a first in the UK and therefore came with a high level of managed risk, was way beyond the other proposals presented back in 2009. This included the build and deployment of a brand new pure fibre infrastructure for CYC to use, making us a fibre city, no longer reliant on traditional telecoms infrastructure provision and providing a connectivity backbone for the City which could continue to be extended and which allows for high speed connections. As well as building this fibre infrastructure Pinacl took over the management of the corporate voice and data network, and internet access for both corporate and education customers.
- 9 During this 8 year period there has been a refresh of the broadband service as well as other core aspects of the managed service estate. This investment has kept the technology current and fit for purpose.
- 10 As mentioned in 3 above, CYC is uniquely placed to provide a fast and reliable broadband service as a result of the investment in a pure fibre digital infrastructure across the CYC estate. The advantage of this is that the ultra speed and future proof digital infrastructure is already in the ground, and although in the telecommunication arena, pure fibre networks are still quite immature, the fibre market has progressed since the current managed service contract was secured.
- 11 And there are strong and realistic expectations that the supplier market space will respond with significantly reduced annual connectivity charges as part of the next procurement activities. And similar to the previous two managed service procurement activities, the next round will provide the opportunity for innovation and a revisit of key features and functions of the service.

Current Environment and Proposals for a New Procurement

- 12 The broadband & telephony service to schools has remained enabling and very reliable, and as bandwidth requirements have grown as a result of online learning and multimedia content, the service has matched the requirement at no extra cost to CYC/Schools and other partners such as Libraries.
- 13 As a result of the fibre network platform, the current managed service has enabled the deployment of additional services to schools such as telephony using voice over IP (VOIP) that makes use of the same fibre connection into schools that carries the broadband/internet traffic. The service has been provided at a very low cost as a result of the corporate managed service, and this has resulted in schools realising

substantial savings on their phone charges, and this and the other additional services will remain and feature within the new managed service contract when secured.

- 14 Our Schools that make use of this telephony service who decided to discontinue their broadband service with CYC, will need to make alternative provision for telephony, this is highlighted so that Schools can factor this into their decision making process.
- 15 During the lifetime of the current managed service contract, CYC and Pinacl Solutions have designed and created resilience between the education broadband service and the corporate broadband service. This means that although they are separate services, the authority can quickly move one service across to another in the event of a failure of one or other service. And as a result of this resilience, CYC schools stayed connected during last year's attack on the JANET network which took all university, college and school services offline for a day.
- 16 There are a number of new features, upgrades and services that will be required from any new provider and these will be included within the requirements documentation, and CYC will be gathering requirements from key stakeholders such as schools (via representatives), and school support providers to ensure that the service meets expectations and to capture any new requirements.
- 17 There are new challenges ahead for schools in terms of managing student access to the Internet as a result of the *Keeping Children Safe in Education's* wider agenda and technology needs to support the requirement to meet statutory duties around child safety and prevention of radicalisation activities. This will be a considerable additional administrative burden on schools. However the new service will take account of this type of requirement and provide the tools to achieve this more easily.
- 18 It is understood that some schools have more resources available than others to manage aspects of their broadband connectivity, filtering and security. Under the current contract, secondary schools have greater autonomy over filtering and managing of online content while primary schools have a centralised service provided by the CYC. These options for greater/less autonomy over the broadband service will remain part of any new offering to schools,
- 19 With many schools opting to become Academies or to form Multi Academy Trusts, they now have the opportunity to approach the wider market place for services. The formation of Multi Academy Trusts is also reshaping school communities so that they are no longer localised and may consist of schools from around the region or country. These two major changes in the educational environment encourage a new approach to service delivery based on partnership and a business model that caters for schools' individual requirements.
- 20 CYC realises that Schools may wish to seek services independently, but is offering Schools the option to be part of this wider procurement as the city wide infrastructure does provide a best value service that is unique to York. However, any new arrangements between CYC and our Schools will reflect the new dynamics and requirements.
- 21 Schools are contracted into the current broadband service until August 2017. This means that charges for this service fall into two financial years 2016-2017 and the first few months of 2017-18. The implication for schools wishing to discontinue the

service after August 2017 is that there will be a pro-rata charge for the remaining term of the contract in financial year 2017-18.

For Schools which choose to continue with the extension period and then onto the newly procured broadband service, the transition from the old service into the new should be relatively straight forward. There would be a new contract between the Schools and the authority for the new service.

Financial Implications

- 22 The charge for the current contract is split between services in the council including corporate ICT, Urban Traffic Management, Libraries and Schools. The annual charge for the school element of the contract is £605k in 2016/17.
- 23 The school element incorporates two funding streams. A proportion of the cost is charged to schools for the broadband service. In addition, an element of centrally held DSG funding (£195k), supplements the schools' contributions. This DSG funding element was agreed by the School Forum at the beginning of the current contract. This centrally retained DSG budget is accounted for within Line 1.4.1 – Contribution to Combined Budgets within the Section 251 budget statement previously presented to the forum.
- 24 In determining the charges to be made to schools to fund this one-year extension of the contract to 31st August 2018 there are two issues to consider.
- 25 The first is the continuing availability of the £195k within the DSG beyond 2016/17. The DfE is currently moving towards a national funding formula for schools. Initially there was a commitment to begin this move from the 2017/18 financial year and in preparation for this, local authorities had to provide details of all historic commitments within this line of the Section 251 budget. The DfE would then decide if the LA would be allowed to retain these budgets centrally beyond 2016/17.
- 26 The LA response on this line of the budget was that this would be required as a minimum in 2017/18 until the end of the existing contract, and then highlighted that there were options to extend this contract beyond the current end date.
- 27 The move towards a national funding formula has now been delayed for a further year, meaning that the current arrangements are likely to be allowed to continue for at least the financial year 2017/18. However, it is not clear what the position will be for 2018/19, and a one-year extension to the contract would extend over the first five months of this financial year. If this funding cannot be retained centrally it would, by default, be delegated to schools via the LMS Funding Formula, and the charges for the remaining 5 months of the extension period would have to increase to compensate.
- 28 Annex 1 details the financial position for the remaining period of the contract, and for the one-year extension, and how this would impact on the charges being made to schools. It is important to note that these figures are calculated on the assumption that all schools currently in the contract will buy in for the one-year extension period.
- 29 The move towards a national funding formula will in all likelihood result in the LA being unable to retain this DSG funding centrally to supplement the broadband budget and result in increased charges being passed on to schools. It is therefore essential that any future procurement of broadband services provide a competitive

price for a school service as there will no longer be any supplements available to protect schools from higher charges that are a result of location and geography and cost to bring services to those sites.

- 30 The initial decision to include DSG funding early in the contract was to help the authority find a fair model for charging schools based on pupil numbers rather than geography. With broadband services, charges differ based on location, with rural sites tending to be the most expensive. In York the most rural schools are the smallest. It would have been unfair for these schools to have paid the actual cost of the service. Therefore the charges for all of the schools were aggregated and a model based on pupil numbers developed. Despite this model, the pricing structure remained competitive with the overall market. The DSG element of the budget helped equalise the overall charge to schools as it offset the collective charge for the schools element of the fibre network.
- 31 This aggregation or economies of scale, does however have a negative implication at this point in the contract if the extension period is invoked. If some schools decide to discontinue the service, the overall charges to the remaining schools will increase. This is because the contract charges for Education will remain the same, as they were based on an aggregated charge for all the connections and for the related services (filtering, virus checking, firewall security, pro-active monitoring, and service desk). The charges would therefore be divided out between fewer schools therefore raising the unit cost per school.
- 32 Approval is sought from the School Forum to approach the DfE with a request that the DSG funding element remain in place for the one year extension period.
- 33 It is understood that any future new procurement will not include centrally held DSG budget. It will also rely on a different charging model for all schools including Academies as things have changed significantly in the last few years and Local Authorities are no longer permitted to subsidise charges to schools and additionally the Authority no longer receives funding or grants to enable subsidisation of services. For these reasons it will be imperative that any service offered by CYC in the future is affordable to schools as they will be paying 100% of the actual costs of the service.
- 34 Schools which choose to procure their own services and not continue with the current service for the extension period should be aware that their own procurement will include the capital costs of any investment in a new service as well as a revenue cost. This could be a significant amount and it may not provide a comparative level of technology or service. A like for like service will be difficult to achieve in the current market.

Risks

- 35 Due to the nature of the Managed Service Contract, the extension period if invoked, applies to all of the estate including schools. There is a contract price associated with the extension period which encompasses the whole estate. If any aspect of the estate chooses not to opt for the one year extension period, the costs of the contract still need to be met. Therefore the unit cost to those remaining in the contract extension period will increase or CYC will need to meet the short-fall.
- 36 If the DSG funding does not remain in place for the extension period, the unit price per school site will increase for the one year extension period.

Benefits

- 37 The current service is future proof, very reliable and competitively priced. It is well established and provides economies of scale for supporting technologies such as VOIP which are leading to cost savings in areas such as telephony.
- 38 Duplication of effort is avoided regarding the resource intensive levels of market space analysis and procurement/tender activities that are required to secure an effective and enabling managed service of this scope/scale that CYC will undertake if mandated to do so by schools.

Recommendation

- 39 It is recommended that the one year extension period of the current broadband contract is enacted to enable CYC to continue and conclude the assessment of the supplier market space and best options for the next managed service contract taking into the account the changes/requirements in the educational, partner & CYC landscapes and supplier market space.
- 40 The Schools Forum is asked to recommend the continuation of the central DSG funding element of the budget for the School Broadband service for the duration of the one year extension period. The Authority would then seek approval for this from the DfE.
- 41 In order to continue with this mandate to secure a future broadband & telephony service for the CYC and its partners, Schools are requested to confirm their continuation in the current extension period.
- 42 Additionally, Schools are asked to express interest in a new broadband procurement prior to CYC approaching the market space during the period 2017-18, and also their input/participation through representation from Schools and their ICT support providers in the procurement process.
- 43 Schools wishing to terminate the current contract and carry out their own procurement are advised of the following:
 - There will be a charge for the remaining term of the contract April to August in the financial year 2017-18
 - Any School that currently is using CYC provided telephony services, will need a secure a replacement telephone service including new number ranges (their advertised numbers both main and any extensions).
 - To ensure any identified resiliency and growth requirements are factored into their procurement activities

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Broadband Contract Budget
1st April 2016 - 31st August 2018

	2016/17	2017/18			2018/19				
	Last Full Financial Year of existing contract	April - August 2017	September 2017 - March 2018	Total	April - August 2018				
		to end of current contract	extension period		With DSG contribution	Without DSG Contribution			
	£	£	£	£	£	£			
Assumed inflation on contract		1.0125	1.0125		1.0125	1.0125			
Annual Expenditure									
Base Managed Service Charge - Schools	592,640	250,000	350,000	600,000	253,130	253,130			
Additional sites not in Managed Service Charge	12,000	5,000	7,000	12,000	5,000	5,000			
Gross Expenditure	604,640	255,000	357,000	612,000	258,130	258,130			
Funding									
Base Budget (DSG Contribution)	195,000	81,250	113,750	195,000	81,250	0			
Schools Income	311,433	125,880	176,230	302,110	128,020	186,470			
Academy Income	106,177	47,920	67,090	115,010	48,730	71,400			
Gross Income	612,610	255,050	357,070	612,120	258,000	257,870			
Total Surplus (+) / Shortfall (-)	7,970	50	70	120	-130	-260			
Bands				Full Year Cost			Equivalent Full Year Cost (with DSG Cont)	Equivalent Full Year Cost (without DSG cont)	
P									
1	<100	2,930	1,220	1,710	2,930	1,240	1,810	2,980	4,340
2	100-200	4,100	1,710	2,390	4,100	1,740	2,530	4,170	6,070
3	200-350	5,250	2,190	3,060	5,250	2,220	3,230	5,330	7,760
4	350-450	6,420	2,680	3,750	6,420	2,720	3,950	6,520	9,490
5	>450	7,580	3,160	4,420	7,580	3,210	4,670	7,700	11,210
S									
6	<1000	16,940	7,060	9,880	16,940	7,170	10,440	17,200	25,060
7	>1000	19,730	8,220	11,510	19,730	8,350	12,160	20,040	29,190
8	Secondary academy	21,070	8,780	12,290	21,070	8,910	12,980	21,390	31,160

York Schools Forum

26 September 2016

Report of the Director Children’s Services, Education & Skills and the Director of Customer & Business Support Services

SCHOOL BUDGET DE-DELEGATIONS FOR 2017/18

Summary

- 1 This report provides the forum with an update on proposed de-delegated budgets for 2017/18. Further information on the facility time and behaviour support outreach delegations is provided, and the forum is asked if they .

Current 2016/17 De-Delegations

- 2 For 2016/17 the forum has agreed to de-delegate the following central budgets and services back to the LA.

Centrally Held Budgets		Centrally Funded Services	
	£000		£000
Schools General Contingency	73	FSM Eligibility Assessment Service	50
Teachers’ Panel	46	Traveller Education Service	172
		Behaviour Support Outreach Service (Primary Schools Only)	171

- 3 The following paragraphs provide more detail on the current position for each de-delegation.

Schools General Contingency

- 4 This small budget has been used in the past by the LA to support significant but unexpected expenditure in some schools on an exceptional basis. The current de-delegation is set at £4 per pupil. Examples from previous years include:
 - Significant legal costs supporting employment tribunals.
 - Funding of compromise agreements in certain circumstances.
 - Backfill costs following the suspension of a headteacher.
 - Uninsured continuing education costs following a school fire.
- 5 The forum is asked to confirm the continuation of the de-delegation for 2017/18 at the current rate of £4 per pupil.

Free School Meal Eligibility Assessment Services

- 6 The FSM eligibility service is provided as part of the overall School Services Team (with the team also covering a range of work from management of the admissions process to organising all of the city's home to school transport arrangements which are not subject to de-delegation).
- 7 Given the nature of the service this is not something that the LA believes could be broken down into smaller elements. Schools will need to either agree to de-delegation so that they can continue to access the service in the current way, or retain the funding themselves but accept that access to the service will then no longer be available. As the identification of one extra FSM pupil would generate c£3.5k in additional funding for a school, the proposed de-delegation amounts for 2017/18 (£25.46 per primary FSM pupil / £50.02 per secondary FSM pupil) are considered modest compared to the potential additional funding. The forum is now asked to agree to continue to the de-delegation for 2017/18.

Teachers Panel

- 8 The teachers' panel arrangement is the primary mechanism for the LA, on behalf of schools, to consult with teaching staff across the city. Each trade union is represented on the panel, with the bulk of the budget being spent on funding the salaries of panel members, or backfill arrangements in the individual home schools of the panel members.
- 9 This de-delegation has been the subject of significant discussions during previous years' budget setting processes, and a reduction of c50% since de-delegation was first introduced in 2013/14. The table below sets out the agreed reductions in allocations from the 2013/14 academic year to the 2016/17 academic year.

Teachers Panel Backfill Funding 2013-16

	2013/14 Academic Year	2014/15 Academic Year	2015/16 Academic Year	2016/17 Academic Year *	
ATL	0.40 fte	0.30 fte	0.20 fte	0.20 fte	1 Day per Week
NASUWT	0.40 fte	0.30 fte	0.20 fte	0.20 fte	1 Day per Week
NUT	0.40 fte	0.30 fte	0.20 fte	0.20 fte	1 Day per Week
NAHT	6 Days pa	4 Days pa	3 Days pa	3 Days per Year	
ASCL	6 Days pa	4 Days pa	3 Days pa	3 Days per Year	
Unison	0.75 fte	0.50 fte	0.40 fte	0.40 fte	2 Days per Week
GMB	0.09 fte	0.06 fte	0.05 fte	0.05 fte	1 Day per Month
Panel Secretary	0.35 fte	0.25 fte	0.20 fte	0.20 fte	1 Day per Week
Estimated Cost	£86,980	£63,170	£44,740	£46,030	

* Note - the forum has only agreed funding until 31 March 2017

- 10 Further information in the form of a letter from the panel members is attached at annex 1. The panel is requesting that the forum now agree to extend the current de-delegation and time allocations through to the end of the 2017/18 financial year. This would require an estimated de-delegation rate of £2.55 per pupil for 2017/18, an increase of 5p per pupil compared to 2016/17.

Traveller Education Service

- 11 This is another service that has been subject to significant discussion and debate in previous years. This resulted in the ceasing of the de-delegation for the EAL service but an agreement to support de-delegation for a traveller only service. The estimated de-delegation amounts for 2017/18 that would be required to continue with the current arrangements are:

Primary:	£5.09 per pupil
	£19.14 per FSM pupil
	£12.60 per LPA pupil
Secondary:	£7.08 per pupil
	£33.329 per FSM pupil
	£14.81 per LPA pupil

- 12 The forum is not being asked to make a decision on de-delegation today as further information on the service is expected to be brought to the next meeting of the forum.

Behaviour Support Outreach Service

- 13 The service is provided to primary schools through the Danesgate Community and is often referred to as the Danesgate Outreach Service. Under its current central de-delegated form it is provided to schools in addition to the Danesgate provision for individually named pupils.
- 14 As requested by the forum, the headteacher has produced an impact report on the work of the service over the 2015/16 academic year and this is attached at annex 2. The forum is asked to consider the additional information provided and consider whether any further information is required prior to a decision on de-delegation for 2017/18 being taken.

Recommendations

- 15 Members of the forum are asked to:
- agree to the continuation of the Schools General Contingency de-delegation in 2017/18 at a rate of £4 per pupil,
 - agree to the continuation of the Free School Meal Eligibility Assessment de-delegation in 2017/18 at a rate of £25.46 per primary FSM pupil and £50.02 per secondary FSM pupil,
 - consider the request from the teachers' panel to extend the current facilities time de-delegation arrangements to the end of the 2017/18 financial year at a rate of £2.55 per pupil,
 - note that further information will be brought to the next meeting of the forum on the Traveller Service de-delegation,
 - consider whether any further information is required in relation to the Behaviour Support Outreach Service, prior to a decision on de-delegation for 2017/18 being taken.

Contact Details Author:	Chief Officers Responsible for the Report:		
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	Report Approved	√	Date 1 September 2016
For further information please contact the author of the report			

Annex 1 – Letter from Teachers’ Panel members re Facilities Time funding
Annex 2 – Behaviour Support Outreach Service impact assessment 2015/16



Tracey Ralph
Chair, York Schools Forum
City of York

10 July 2016

Facilities Funding re Professional Associations, City of York

Dear Tracey,

We are writing to all members of the Schools Forum in order to make representations with regard to the value to be had for all concerned in retaining the Facilities Fund. As members may be aware the decision was made at a meeting of the YEP to cut the Facilities budget by **50%** with effect from 1 September 2015. We are aware that de delegation of the Facilities Fund is due to be reviewed once more in September for 2016/17. Our concern is that if this is delegated back to schools then a number of schools may see this as a false economy at a time when all budgets are under severe pressure as not all Headteachers understand the benefits of paying into this fund or what they will lose if they opt out.

Consequently we ask that the Schools Forum elects to de-delegate the Facilities Fund back to the LA. The formula is equitable across all schools, whether Academy, Maintained, Primary or Secondary and I assume that this will continue to be calculated at the current rate of £2.50 per pupil. In writing to you and the members of the Schools Forum about this we thought it would be helpful to point out the work undertaken by Negotiating Secretaries and the benefits that all schools receive in return for their payment.

Firstly, the Secretaries of the teaching unions are either teachers for four days of the week, with all that entails, or are retired. The time needed to perform that task hardly varies throughout the year, obviously it means that for four days of every week teachers are not available during the day. It also means that their availability immediately after school is limited as there are commitments they will have at their own school, often in Directed Time. If retired, then they should not be asked to attend meetings except for the day that has been agreed as often they have family commitments as well as their union work. Unison and GMB secretaries are allocated 2 days/week and 0.04 fte respectively in order to carry out their responsibilities.

Secondly as Local Secretaries they are the first port of call for any member in their association, they are the link between members and regional office, they organise and run meetings, provide and produce information for members and regional and national officers. In addition they have training needs of their own and it is essential that they are up to date in

the latest developments regarding education initiatives, of which recently there have been many, and have a good understanding of employment legislation. It would be fair to say there are 'busy weeks' and 'not so busy weeks' – increasingly fewer of the latter it must be said.

There are constant phone calls and e-mails from members asking about a range of issues, pay, pensions, conditions of service etc., some of which may lead to contact with a school or the Local Authority, many just where the member needs guidance or reassurance. It is very hard to put a 'time' on these activities, but there is rarely any day when members are not in contact with the Secretary, whether it is a "facility day" or not.

All Unions have Local Association meetings which the Secretary organises and attends, meetings at regional/national offices for briefings, these are planned well in advance but are an essential part of any Local Union organisation. In addition the Secretary is responsible for recruitment and is involved with Universities and Colleges as well as visits to schools and being responsible for promoting the Union with NQTs.

Finally there are the activities for which Secretaries receive facilities time. Issues which arise from policies such as capability, disciplinary, attendance etc. require not only time in school but time spent with members, time in discussion with Regional Officers, often conversations with HR and a great deal of time spent in preparation for meeting, if one is required in a given situation.

There should be no need to go through the issues that require the presence and input of a Local Secretary but it needs to be said that issues such as redundancies or restructures are not just about the hour or so spent at the meeting but the time spent in preparation and in the follow up required. These sorts of issues unfortunately do not happen at convenient intervals, again there are busy times and very busy times and Secretaries have to use their time appropriately.

OJM meetings with Local Authority occur on a regular basis and have the advantage of being planned well in advance but again it is not just the meeting, there is often a considerable amount of work involved pre and post meeting. In addition there are meetings about policies which have to be fitted in and although there are not many of these they do require planning and discussion outside of the actual meeting. All LA policies that schools subscribe to are discussed at OJM with the representatives of all the teaching and support staff unions including ASCL and NAHT representatives. Consequently schools have the peace of mind in knowing that once they adopt a LA policy it is one that all the unions have signed up to and has been checked by both Headteacher representatives. A large proportion of the work undertaken by all York Negotiating Secretaries is not just related to individual case work, as a fair amount of time is taken up reading through a wide variety of policies before meetings, putting forward alternative suggestions and then talking through the various nuances of these at the meetings with the Assistant Director, HR representatives and LA officers in order to arrive at policies acceptable to all concerned.

Key issues related to Health and Safety in schools are also discussed at these meetings with Cliff Fitzgerald in attendance. As you can imagine, following on from recent high profile cases, this has a much sharper focus from a LA point of view in order to avoid, what was described in a court judgement of a local incident as a "tick box mentality". As part of this the teacher unions are represented on the LA Health and Safety Committee.

If Headteachers have a serious issue, e.g. staff disciplinary or a redundancy hearing, then all staff will be represented, including the Head and members of the senior leadership team, by local representatives. All staff in York schools in the various respective professional associations can contact their local Negotiating Secretary for advice over a number of issues which is often reassuring for them and thus demonstrates the school's concern for the welfare and well-being of all staff.

Should schools believe that the service does not provide value for money and therefore are not willing to buy in to the Facilities Fund then the professional associations will have no option but to contact their respective members in such schools to inform them that as a result they will not be able to represent them at any management, disciplinary or redundancy meetings. Consequently they will be represented by a Regional Officer and that will only serve to delay matters for schools and staff as well as probably creating additional issues for Headteachers who will thus be dealing with a full time Regional representative rather than the local Negotiating Secretary.

Should schools not buy into this service resulting in further reductions then it may be that Secretaries would have to consider whether it was possible to continue in the role and may step down. Replacements, hard to find as they already are, would also probably consider one day of facilities time insufficient and be unwilling to step in, thus leaving a Union with no Local Secretary. This may result in schools and the LA having to deal with Regional Officials. Another option might be that Regional Officers from ATL, NUT and NASUWT would be unable to do this and so would devolve this to school based representatives who would all require 10 days training out of school in order to fulfil their obligations. For a number of schools this would negate any "savings" achieved by not buying into the scheme. Moreover it would mean losing the relationships built up between Secretaries, the Schools and the LA. Often this enables matters to be dealt with quickly and, sometimes, quietly, as the Local Secretaries have understanding of the schools and their situation. It is also a fact that Regional Officials often deal with huge geographic areas and do not have the flexibility to call into a school for a hastily-arranged meeting as is the case with the present facilities arrangement.

Issues in school to do with capability, discipline, redundancy, attendance and pay are not going to go away or lessen in occurrence, with more 'power' being devolved to schools there will be more issues to deal with and there will be a need for Unions, through their Local Secretary, to be involved. In fact from 1 September 2015 - 8 July 2016, 26 schools in York have notified the Unions that they are carrying out restructures and/or making redundancies whilst several schools have been holding meetings with regard to Academisation. As you will appreciate this has taken up a great deal of the Secretaries' time, and is likely to recur in the next academic year.

The system as it stands at the moment works very well, matters are dealt with promptly and there are generally very good working relations between the parties involved. We hope that on reading this all members of the Schools Forum will have a greater understanding of the service that teaching staff and schools receive via Facilities funding. We understand that in addition to this submission the Schools Forum has asked for a breakdown of time used by the Local Secretaries in 2015/16 for the meeting in September and is prepared to listen to representations from a member of the professional associations in order to reinforce the benefits that the Facilities Fund brings to York schools and to answer any questions.

Thank you for your time and consideration.

Yours faithfully,

Steve Smith	ASCL
Gill Williams	NAHT
Fiona Barclay	ATL
Barbara Reagan	NUT
Lee Bowles	NASUWT
Julie Toyne	UNISON
Mandy Swithenbank	GMB

The Danesgate Community Outreach Provision 15/16 (report compiled by Tricia Head 12.9.16)

De-delegated funds - £197K (13/14), £188K (14/15), £173K (15/16), £162K (16/17)

1. Outreach Intervention Centres – KS1 Kestrel, Kestrel Class(lower KS2) and Kestrel House (upper KS2)				
What we offered	Coverage			Evidence of Impact
1. KS1 Kestrel ran for 5 days a week 9-1pm over 15/16 2. Kestrel Class ran for 25 hours over the week (8.30-1.30pm not including travel time which is on top of these start and finish times). 3. Kestrel House ran for 25 hours over the week (9-2pm not including travel time which is on top of these start and finish times). 4. Danesgate transport and escorts. 5. Induction and reintegration at start and finish of the programme. 6. Pilot with West Cluster ran at Hob Moor Children’s Centre (funded separately to de-delegated funds and not counted in figures in column to right)	Year group	Male	Female	<ul style="list-style-type: none"> • Reviews held with parents and mainstream colleagues every half term. • IEP targets (for learning and behaviour) set and reviewed each half term. • SEB Competencies reviewed each term. • Evidence from reviews showed that 65% of cases R, W and Maths levels and in 95% of cases SEB Competency scores had risen. • Return to school or kept at Outreach status was successful in 92% of cases. In 8% of cases we were able to use period of time at Kestrel to identify complex needs and begin MSP/EHCP to support schools taking these pupils or to wait for place in specialist provision.
	R	2	0	
	1	6	2	
	2	4	0	
	3	4	0	
	4	3	4	
	5	7	1	
	6	5	1	
	Total = 39 (33) supported in Kestrel provision 15/16	31 (23)	8 (10)	

2. Behaviour Support Workers in school

What we offered	Coverage						Evidence of Impact																																																																																																												
<p>1. There are 2 full time equivalent workers (TA4 grade) across the City. The behaviour support workers are all HLTAs and line managed by Tricia Head.</p> <p>2. BSWs go into schools to observe identified pupils, advise staff, create action plans and model methods and resources. They do home visits and engage hard to reach parents.</p> <p>3. BSWs go into schools to run intervention programmes as follows:</p> <ul style="list-style-type: none"> • Anger management programmes • Social skills programmes • Friendship programmes • Anti bullying programmes • SEAL • Circle of Friends whole class programmes <p>4. TA 2 or TA 3 time in school to support individual students.</p>	<p>32 (31) different primary schools were supported over 15/16 with number of pupil on BSW's caseloads reaching 99 (74) pupils (average of 35 (37) pupils on caseload of each BSW worker over the year).</p> <table border="1" data-bbox="723 419 1485 1209"> <thead> <tr> <th colspan="2">West = 33</th> <th colspan="2">South Bank = 10</th> <th colspan="2">North = 31</th> </tr> </thead> <tbody> <tr> <td>Copmanthorpe</td><td>3</td> <td>Scarcroft</td><td>1</td> <td>B Green</td><td>10</td> </tr> <tr> <td>St Barnabas</td><td>3</td> <td>StWilfred's</td><td>1</td> <td>C Green</td><td>4</td> </tr> <tr> <td>Hob Moor</td><td>4</td> <td>A of YJ</td><td>1</td> <td>CWR</td><td>8</td> </tr> <tr> <td>Westfield</td><td>5</td> <td>Knavesmire</td><td>3</td> <td>Lakeside</td><td>2</td> </tr> <tr> <td>Poppleton Rd</td><td>2</td> <td>Dringhouses</td><td>1</td> <td>Skelton</td><td>6</td> </tr> <tr> <td>Woodthorpe</td><td>4</td> <td>St Marys</td><td>1</td> <td>Popp.</td><td>1</td> </tr> <tr> <td>Carr Junior</td><td>6</td> <td></td><td></td> <td>Ousebank</td><td></td> </tr> <tr> <td>Carr Infants</td><td>4</td> <td></td><td></td> <td></td><td></td> </tr> <tr> <td>OLQM</td><td>2</td> <td></td><td></td> <td></td><td></td> </tr> <tr> <th colspan="2">North East = 6</th> <th colspan="2">East = 11</th> <th colspan="2">South York = 8</th> </tr> <tr> <td>R Wilkinson</td><td>0</td> <td>Osbaldwick</td><td>3</td> <td>Lord Derr's</td><td>1</td> </tr> <tr> <td>R Butterfield</td><td>1</td> <td>Badger Hill</td><td>4</td> <td>Dunnington</td><td>3</td> </tr> <tr> <td>New Earswick</td><td>3</td> <td>St Law's.</td><td>1</td> <td>St Oswalds</td><td>0</td> </tr> <tr> <td>Park Grove</td><td>0</td> <td>St Aelred's</td><td>0</td> <td>Wheldrake</td><td>4</td> </tr> <tr> <td>Wigginton</td><td>0</td> <td>Headlands</td><td>2</td> <td>St Georges</td><td>0</td> </tr> <tr> <td>Haxby Road</td><td>0</td> <td>Heworth</td><td>1</td> <td></td><td></td> </tr> <tr> <td>Huntington</td><td>2</td> <td></td><td></td> <td></td><td></td> </tr> </tbody> </table> <p>Over 15/16 we provided 110 (80) days of TA support in schools on top of caseload work shown in table above.</p>						West = 33		South Bank = 10		North = 31		Copmanthorpe	3	Scarcroft	1	B Green	10	St Barnabas	3	StWilfred's	1	C Green	4	Hob Moor	4	A of YJ	1	CWR	8	Westfield	5	Knavesmire	3	Lakeside	2	Poppleton Rd	2	Dringhouses	1	Skelton	6	Woodthorpe	4	St Marys	1	Popp.	1	Carr Junior	6			Ousebank		Carr Infants	4					OLQM	2					North East = 6		East = 11		South York = 8		R Wilkinson	0	Osbaldwick	3	Lord Derr's	1	R Butterfield	1	Badger Hill	4	Dunnington	3	New Earswick	3	St Law's.	1	St Oswalds	0	Park Grove	0	St Aelred's	0	Wheldrake	4	Wigginton	0	Headlands	2	St Georges	0	Haxby Road	0	Heworth	1			Huntington	2					<ul style="list-style-type: none"> • Observations of pupils which led to strategies for improving Behaviour for Learning. • Training for these strategies with classroom teaches, teaching assistants and Midday Supervisors. Positive feedback from this training. • Preparing reports that support multi agency involvement or statutory assessment. • Attending multi agency meetings relating to individual pupils – SEN planning meetings, Child Care reviews, Initial and Review Child Protection Conferences, FEHA meetings. • Students managed to stay in schools and SEB competency profile improved.
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York Schools Forum

26 September 2016

Report of the Director Children's Services, Education & Skills and the Director of Customer & Business Support Services

EARLY YEARS NATIONAL FUNDING FORMULA – DfE CONSULTATION

Summary

- 1 This report provides the Schools Forum with an overview of the Department for Education's (DfE) consultation on their proposed early years national funding formula and related reforms. The potential implications for the funding of providers in York is also considered. The full suite of DfE consultation documents can be found at:

<https://consult.education.gov.uk/early-years-funding/eynff>

Background

- 2 The DfE launched its consultation on 11 August with a response deadline of 22 September; i.e. prior to the date of this meeting. Their intention is to publish the outcome of the consultation later in the autumn at the same time as the announcement on LA funding allocations (for last year this announcement was made on 17 December).
- 3 The proposals include:
 - Introducing a new early years national funding formula for 3 and 4 year olds.
 - Changing the way that LAs fund the early years providers in their area.
 - Targeting extra funding towards children with SEND.
- 4 In the DfE's words, the consultation invites views on proposals to:
 - bring about transparency in the way money is allocated from the government to LAs using an early years national funding formula,
 - maximise the funding passed from LAs to childcare providers,
 - bring about fairness in the distribution of funding to all types of childcare provider,
 - create a better deal for SEND children.

Consultation Summary

Early Years National Funding Formula (EYNFF)

- 5 A new national formula will be introduced to allocate 3 & 4 year old funding to LAs. The proposed formula will be applied to both the universal 15 hours, plus the additional 15 hours for working parents. The formula will consist of the following factors:
 - National Base Rate.

- Additional Education Needs (AEN).
 - Area Cost Adjustment (ACA).
- 6 The Base Rate will account for 89.5% of the total available national funding and be set at £3.53 per hour.
- 7 AEN will be based on three elements and weighted accordingly:
- Free Schools Meals (FSM): 8% and £2.13 per eligible hour
 - English as an additional Language (EAL): 1.5% and £0.29 per eligible hour
 - Disability Living Allowance (DLA): 1% and £0.74 per eligible hour
- 8 AEN proxy measures will be:
- FSM: proportion of children at Key Stage 1 & 2 in the LA receiving free school meals.
 - EAL: proportion of EAL children at Key Stage 1 & 2 in the LA
 - DLA: a proxy measure for children with SEND as recommended in the Isos partnership report
- 9 The ACA is intended to reflect the cost differentials between LA areas, particularly staff costs. The proposal is to use the general labour market measure, adjusted for relative nursery premises costs based on rateable values. The basic ACA multiplier for the lowest cost LA area will be 1.0 (highest 1.9), with York's ACA multiplier expected to be 1.13. All of the hourly rates referred to above will be multiplied by the ACA to calculate the actual funding rate for each LA. Therefore the expected hourly rates for allocating funding to York at LA level would be:
- Base Rate: £3.99
 - FSM: £2.41
 - EAL: £0.32
 - DLA: £0.84
- 10 At a national level the new EYNFF will ensure that the average hourly funding rate will increase from the current £4.50 to a proposed £4.71 for 3 & 4 year olds. It should be noted that the much publicised new average national rate of £4.88 also includes the early years pupil premium and funding for maintained nursery schools which will be allocated outside of the EYNFF.

Government Restrictions on Local Arrangements

- 11 The consultation proposes a number of restrictions and controls on how LAs are able to use and allocate the funding provided to them through the EYNFF:
- Restricting the proportion of funding that LAs can retain to spend on central early years services to 7% of the total allocation in 2017/18, and 5% thereafter.
 - Requiring LAs to determine and administer a local Early Years Single Funding Formula (EYSFF) which must incorporate a "universal base rate" of funding for all providers from 2019/20 at the latest.
 - Restricting the proportion of funding that can be allocated via additional supplements to 10% of the total and to the following list of supplements:
 - Deprivation (remains the only mandatory supplement)

- Rurality / Sparsity
- Flexibility
- Efficiency
- Delivery of the additional 15 hours free childcare for working parents
- Allowing and encouraging LAs to build on existing best practice and create a central SEN Inclusion Fund to provide additional top-up funding to providers to improve outcomes for children with SEND.

Additional Funding Outside of the EYNFF

- 12 The Early Years Pupil Premium is expected to continue at the current hourly rate of £0.53 for eligible pupils, and funded outside of the EYNFF and EYSFF.
- 13 The consultation proposes the creation of a new Disability Access Fund totalling £12.5m nationally. This would be allocated via LAs to providers based on the number of children at each setting in receipt of the DLA. The funding rate per DLA child has yet to be determined but, based on estimated DLA numbers included in the consultation's supporting tables (47,370 pupils), this could be £264 per child per year.
- 14 LAs will be provided with supplementary funding to support stand alone Maintained Nursery Schools (MNS) for two years to try and keep the transition to the universal base rate manageable.
- 15 Funding for eligible two year olds would continue to be based on the current system but at enhanced hourly funding rates. For York the rate would rise to £5.20 per hour in 2017/18 from the current rate of £4.85 per hour, an increase of 7.2%.

Implications for York of the Proposed EYNFF

- 16 Based on the proposed 3 & 4 year olds EYNFF, the DfE has calculated that the average hourly funding rate from government to the LA for York will be £4.27 in 2017/18. On the whole this seems like relatively good news as it represents a 17.8% increase from the current fixed rate of £3.625 per hour, and moves York from 16th lowest funded LA (out of 150) to 42nd lowest funded. Although it should be noted that this is a DfE estimate and the final average rate will be dependent on the actual numbers of FSM, EAL & DLA children counted within the new proxy indicators.
- 17 York's proposed £4.27 per hour would represent 91% of the £4.71 national average, compared to the current £3.625 per hour at 80% of the current £4.50 national average. York also appears to have fared much better than some neighbouring LAs, with North Yorkshire and East Riding, who were previously ranked well above York (101st & 92nd lowest / £4.49 & £4.40), now ranked below York (16th & 10th lowest / £4.09 & £4.03). The charts at Annex 1 provide more detail on the relative funding levels under both the existing and proposed arrangements.
- 18 All of the new factors have helped move York up the funding league table to some degree, although the most important one is the area cost adjustment. Compared to our current rank of 16th lowest funded LA, our ranking for each of the new factors within the EYNFF is:
 - Deprivation (FSM): 20th lowest
 - DLA: 29th lowest

- EAL: 47th lowest
 - ACA: 79th lowest (71st highest)
- 19 The ACA calculation is made up of two main elements; labour market costs (80% of ACA) and rateable values of nursery premises (10% of ACA). Using the same labour market cost for all of the Yorkshire & Humber region leaves York in the lowest band for this element. However York does much better for nursery rateable values where we rank 60th highest (90th lowest).
- 20 The new estimated average hourly rate of £4.27 for York is broken down as follows:
- Base Rate: £3.53
 - FSM: £0.19
 - EAL: £0.02
 - DLA: £0.04
 - ACA: £0.50
- 21 As the proposed new rate is in excess of the £4.07 per hour that we are receiving through the 30 hour working parents pilot, we do need to confirm with the DfE that we will get the higher amounts for above 15 hours from April 2017 in advance of the national roll out.
- 22 The 5% (7% in 2017/18) limit on the proportion of funding that LAs can retain to spend on central early years services should not cause any problems. York currently retains 4.8% of the 2016/17 3 & 4 year olds allocation, and the working assumption is that the LA would continue to limit its central budget to no more than 5% from 2017/18 onwards.

Possible Implications and Considerations for York's EYSFF

- 23 In terms of how we apply the proposed arrangements within York's own local EYSFF there are a number of factors to consider:

Universal Base Rate

- 24 Following earlier work of the Schools Forum, all providers in the city have been funded at the same base hourly rate within the York EYSFF since 2014. This puts York in a strong place compared to most other LAs who will now be required to equalize their base rates by 2019/20 at the latest.

Formula Supplements

- 25 The proposed restriction in the number of supplements, and the maximum proportion of total funding (10%) that can be allocated to them, creates no difficulties but may present some opportunities to review our current arrangements.
- 26 The only supplement currently used in the York EYSFF is the mandatory deprivation supplement, allocating an additional £0.40 per hour for every child living in the 10% most deprived areas as defined by the Index of Deprivation Affecting Children Index (IDACI). 2.1% of the total available funding is allocated through this indicator.

- 27 Given that the overall level funding is increasing, there is now an opportunity to consider whether there is any benefit in adding any of the extra allowable supplements. One area that probably needs the most careful consideration would be any supplements to incentivise providers to offer the full 30 hours childcare for working parents on a flexible basis.

Maintained Nursery Schools

- 28 Within the proposals there is a potential issue for York's only stand alone maintained nursery school (St Paul's). We currently fund St Paul's Nursery under the same formula as all other providers but then allocate an additional lump sum of £135k to ensure their continued viability. The consultation recognises the unique position of stand alone maintained nurseries but then only guarantees the additional lump sum protection for 2 years.

SEND Inclusion Fund

- 29 Although not a compulsory element of the new arrangements, the DfE is strongly recommending that LAs consider establishing an inclusion fund (citing York as an example of best practice in this area). The York inclusion fund already provides £100k of funding to help providers struggling with exceptional levels of SEND in their settings. The cash limited budget has been significantly oversubscribed in recent years, so the proposed overall increase in resources may offer an opportunity to consider increasing the fund.
- 30 The consultation also proposes additional funding outside of the DSG, from the DfE to providers, for each child in receipt of DLA. The national totals described in the consultation would equate to £264 per child per year, which would mean c£32k in total for York providers, and may ease some of the demand on the inclusion fund.

Timescales

- 31 The other major consideration is timescales. The consultation closes on 22 September (i.e. before this meeting). It will then be a few weeks before the DfE will respond, say the end of October at the earliest, but this could be anytime up until the normal mid December LA funding announcement.
- 32 This makes it exceptionally challenging to model options, discuss with the forum and do a full consultation with all providers prior to implementing our revised local EYSFF from April 2017. In reality we will probably need to be ready by the end of February in order to deliver revised funding allocations for 2017/18 to all providers before the end of March.
- 33 One option to facilitate the process would be to re-establish the Early Years Reference Group (EYRG) as a sub group of the Schools Forum. The EYRG could become the main vehicle for consulting on the LA's development of a new EYSFF for 2017/18. The results of the EYRG consultation could then be considered by the Schools Forum early in 2017, prior to a formal decision by the Executive Member for Education, Children & Young People.

Consultation Response

34 Although the timescales are tight we would still welcome any comments or suggestions from forum members prior to the submission deadline. Therefore any comments received by Tuesday 20 September will be considered prior to submitting the LA's response. The LA's submission will then be made available for the meeting on 26 September as Annex 2 to this report.

Recommendations

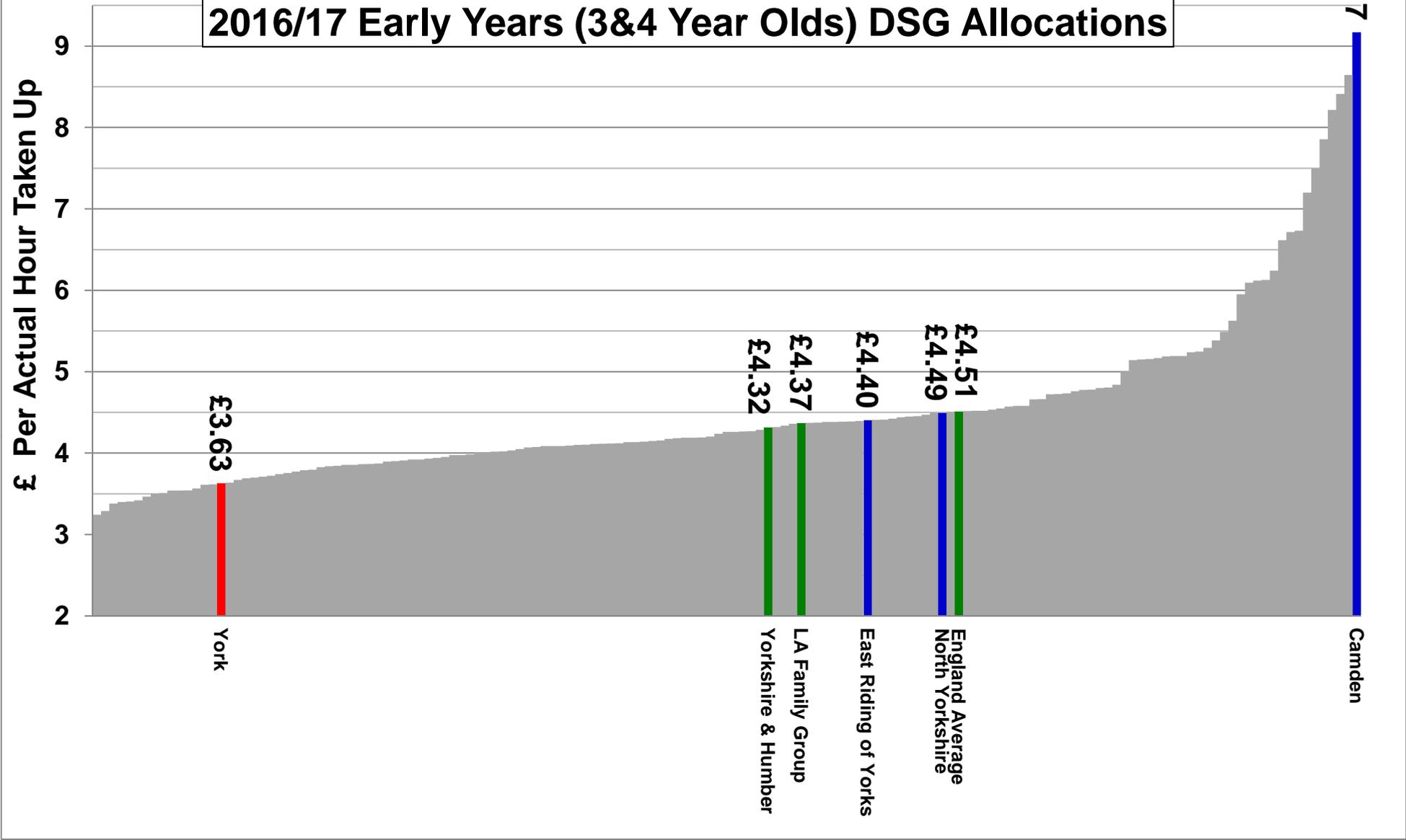
35 Members of the forum are asked to:

- note and comment on the contents of this report,
- agree to the establishment of the Early Years Reference Group as a sub-group of the forum in order to facilitate consultation on the LA's proposals for a new EYSFF for 2017/18.

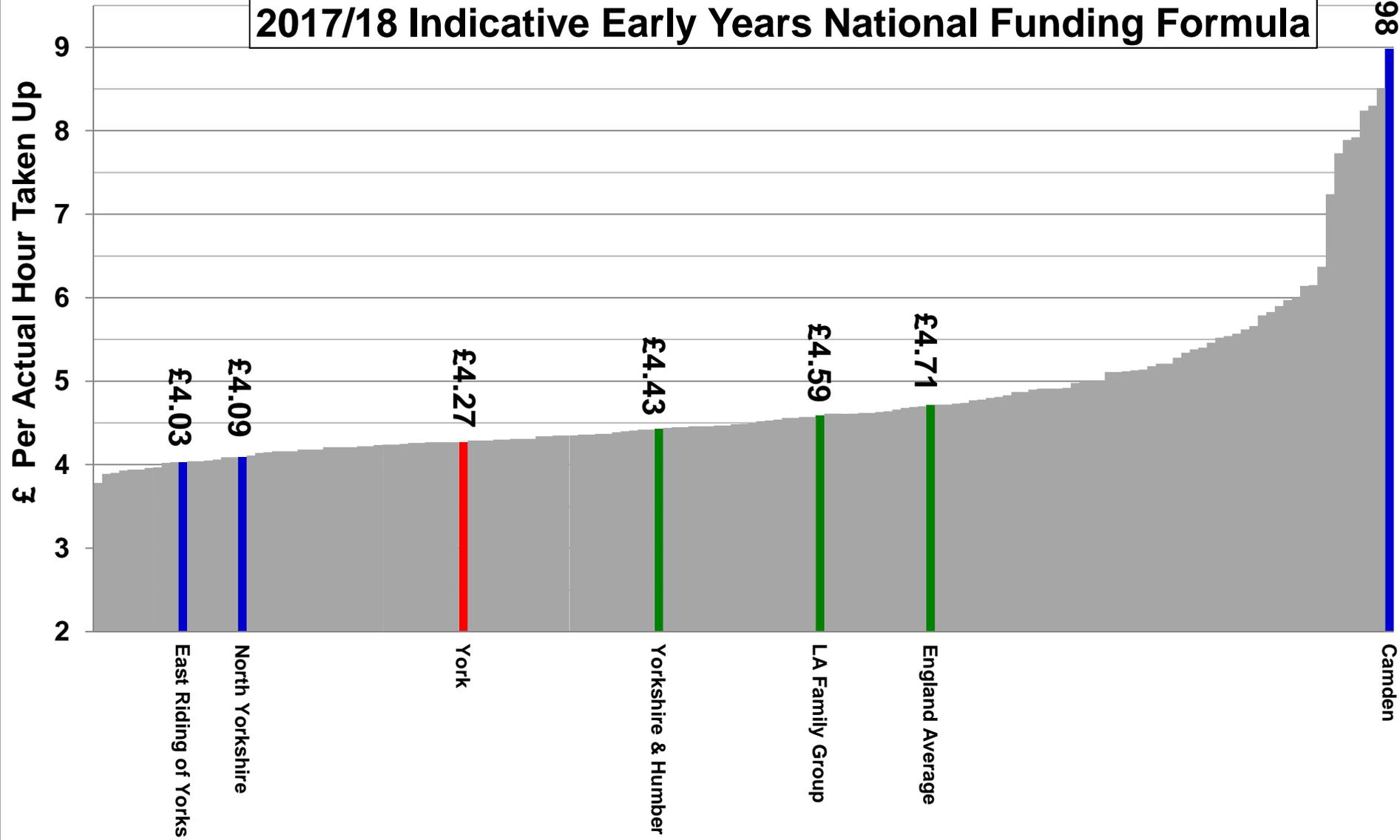
Contact Details Author:	Chief Officers Responsible for the Report:		
Richard Hartle Head of Finance: Adults, Children and Education Tel: 01904 554225 email: richard.hartle@york.gov.uk	Jon Stonehouse Director of Children's Services, Education & Skills Tel: 01904 554200 Ian Floyd Director of Customer and Business Support Services Tel: 01904 551100		
	Report Approved	√	Date 1 September 2016
For further information please contact the author of the report			

Annex 1 – Charts showing comparative LA EYNFF funding allocations
Annex 2 – Proposed LA Consultation Response (*to follow*)

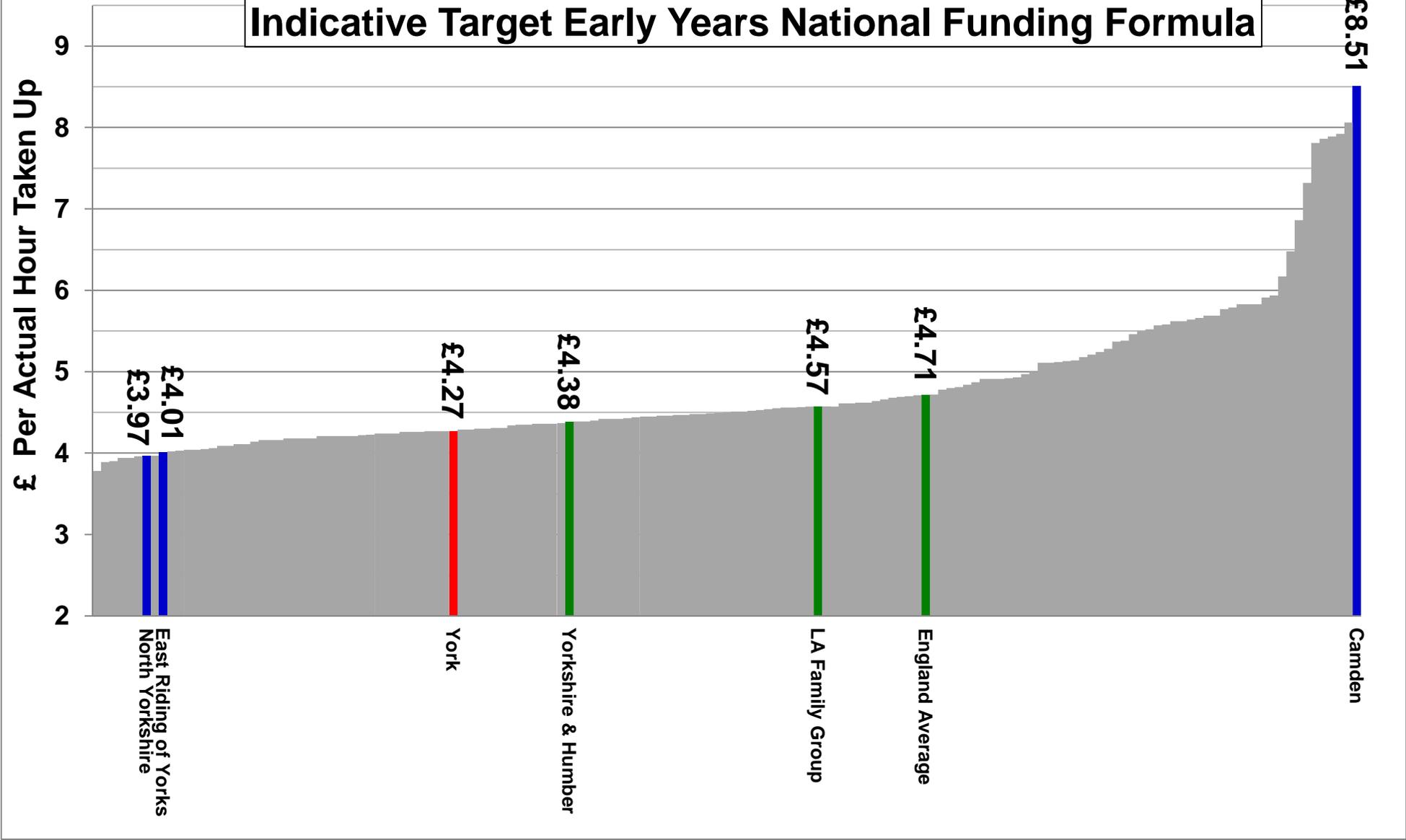
Annex 1a 2016/17 Early Years (3&4 Year Olds) DSG Allocations



Annex 1b 2017/18 Indicative Early Years National Funding Formula



Annex 1c Indicative Target Early Years National Funding Formula



Schools Forum 26th September 2016

The future of clusters and collaborative school improvement arrangements

Background

In April 2016 Schools Forum agreed that funding from the Dedicated Schools Grant (DSG) would continue to be used to support the School Improvement Strategic Commissioning Plan for 2016-17.

The draft commissioning plan was presented to Schools Forum in April 2016 and proposed a change in the use of the £125,000 School Improvement Commissioning Fund. Since 2013 this funding has been used to support school improvement activity in the six geographical clusters. The draft commissioning plan for 2016-17 proposed to allocate £60,000 to support cluster improvement plans with the balance of the School Improvement Commissioning Fund (£65,000) being used to create an School Improvement Innovation Fund to support school-led innovation plans generated by groups of schools. A proposal that this money (£65,000) should be used to support the full roll out of the CAMHS School Wellbeing Worker Project was agreed by Schools Forum in July 2016.

At the meeting in July 2016 Schools Forum members asked for further consideration to be given to the continuation of the funding used to support the six geographical clusters and whether this funding should be available to groups of schools engaging in collaborative school improvement activity other than the in the geographical clusters. In particular it was felt that two groups of schools were being disadvantaged by the geographical cluster arrangements; the catholic schools cluster and the small schools cluster. Both of these school groups have strongly advocated that current arrangements fail to recognise their contexts and mean that they are unable to fully benefit from being part of geographical cluster arrangements.

Context

The current geographical cluster arrangements were refreshed in 2012-13 in consultation with the cluster chairs. It was felt that the clusters would give a structure to school-led improvement arrangements in the city and would support collaborative school improvement to raise achievement. Between 2013 and 2016 the work in clusters has been valuable in developing locality based school improvement activity, however it was always intended that the cluster arrangements should be flexible enough to evolve over time given the changing educational landscape. From the formation of the York Education Partnership through to the development of the York Learning Partnership the role of clusters has been extensively discussed. From their original inception the Local Authority was clear that it was important that the

geographical clusters did not preclude broader collaborative activity including working across geographical clusters, working as groups of faith schools and working with key partners e.g. York College and Askham Bryan Colleges. The reasons for this are well rehearsed and we have much evidence of good practice in York in supporting young people in transitions between schools and establishing good curricular links. The geographical clusters were never designed to be restrictive partnerships but a vehicle for encouraging place based collaborative school improvement.

Whilst inconsistencies in the impact of cluster working remain, it is clear from the range of activity undertaken that there remains a collective commitment to collaborative school improvement activity across the city.

The future of clusters and collaborative school improvement arrangements

Since 2012 the educational landscape both nationally and locally has been in a process of continuous and complex change. The school system in York looks very different to the way it looked in 2012 and it will continue to evolve and change. At that time there were no multi-academy trusts in the city, we now have four and there were no teaching schools alliances. Both the emergence of the teaching school alliances and the multi academy trusts has meant that schools are members of school improvement partnerships outside of their geographical clusters. Despite these structural changes, a strong feature of our partnership arrangements in York is the willingness to remain focused on working together to ensure all children have access to a great education, whilst not allowing arrangements to become stale or no longer fit for purpose. However clusters/school collaborative arrangements are constituted in the future, it will remain important to ensure that there are mechanisms that will ensure collaboration and co-operation between schools serving the same communities, children and families as they move between stages and sectors.

York's future depends more than ever on its education system and in rapidly changing context, which is financially challenging, continuing to work together to develop and sustain great schools has never been more important. The future of cluster arrangements, whether geographical, faith-based, multi-academy trust or groups of like minded schools has to be to ensure that:

- All York schools are at least good and an increasing proportion are outstanding;
- Educational achievement is significantly above national averages across all key stages and for all performance indicators.
- All York's teachers are supported to realise their aspirations to move their teaching from good to great through access to high quality professional development;
- The quality of leadership and governance is consistently good in all schools and settings;
- All schools and settings have consistently high expectations for **all** children and young people;

- We have significantly narrowed the gap in achievement and progress between vulnerable and disadvantaged pupils (including those eligible for the pupil premium, children in care and SEND) and their peers;
- We intervene early and effectively to ensure that any gaps in children and young peoples learning are rapidly closed when they emerge;
- Transitions between schools and settings are seamless ensuring that children and their families are effectively supported at points of transfer and transition on a child's journey between 0-19 or 0-25;
- We work in genuine partnership with children and their families connecting schools and settings with the community in which children and young people live to ensure that barriers to learning and progress are overcome
- Our children and young people develop the skills they need to live a happy and fulfilled life in a rapidly changing world.

The use of funding to support clusters and collaborative school improvement arrangements

This paper would like to propose that the **£60,000** allocated to support the geographical cluster school improvement plans in 2016-17 should be used more flexibly to allow the creation of a **School Improvement Innovation Fund (SIIF)**. This fund would be used to support school-led innovation plans generated by groups/clusters of schools. The fund would be administered by the **School Improvement Commissioning Group (SICG)** who would receive and evaluate bids from clusters of schools to support collaborative school improvement activity. These clusters could include:

- The existing geographical clusters
- Faith school clusters
- The small schools cluster
- Cross city school improvement clusters

The plans received from school clusters would need to demonstrate targeted and measurable, cost effective and sustainable school improvement activity to improve outcomes for children.

Attachments

Action required

This paper proposes that the allocation of £60,000 to support geographical cluster school improvement plans should be reconsidered for 2016-17.

The paper asks the members of Schools Forum to approve the use of funding to support the proposals outlined in this paper.

Contact:

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maxine.squire@york.gov.uk

York Schools' Forum

26 September 2016

Report of the Director of Children Services, Education and Skills and the Director of Customer and Business Support Services

SCHOOL START BUDGETS 2016/17

SUMMARY

- 1 This report provides the forum with an analysis of LA maintained school start budgets for 2016-17. In particular those schools requesting deficit budget approval from the L.A. are highlighted, along with the LA's decision on each.

BACKGROUND

- 2 Since the introduction of Consistent Financial Reporting (CFR) the information from schools regarding the split between Capital and Revenue balances has become readily available and reliable. For this reason this start budget report has been split to show both of these balances separately.
- 3 Revenue funding is income that a school obtains through the delegated budget, other grants and self generated income. This funding can be spent, for the purpose of the school, on any aspect of educating the pupils at the school including pupil focused extended school activities and to make a contribution to capital spending. Capital funding, however, may not be used to support revenue expenditure.
- 4 Capital funding is provided through the LA or foundation governors and its use is restricted to expenditure on capital works. Although capital funding can be spent in advance, currently schools do not need apply for approval of a licensed capital deficit. Funding should already have been addressed as part of the project plan. This plan should show that any shortfall in capital funding is to be addressed either by a transfer from the revenue balance or the school will apply to the School Loan Scheme.
- 5 This report gives details for those schools that have an overall deficit and/or a cumulative revenue deficit so are applying for approval of a licensed deficit in 2016-17. It also summarises those schools that have in year revenue or capital deficit budgets for 2016-17 that are being funded from surpluses brought forward from 2015-16.

BACKGROUND - continued

- 6 In order to have the deficit approved the school will need to show why they are in deficit, when they are intending returning to a balanced budget position and how they intend to manage the school in order to achieve this. It is normal for the school and L.A. Officers to work together to enable a practical recovery plan to be set. If a school is unable to manage its finances to return to a balanced position within 3 years, the DfE's advice is that the Local Authority should seriously consider removing delegation from the school.
- 7 The L.A. also has the duty to ensure the LMS Scheme is being adhered to. In accordance with the LMS Scheme the Director of Children's Services, Education and Skills can approve deficits up to a maximum of 10% of the school's resource allocation. Higher percentage deficits can be agreed in exceptional circumstances but would also require the approval of the L.A.'s Director of Finance (Section 151 Officer). Deficits can be agreed for any period up to a maximum of 3 years. Once the school has submitted a recovery plan and it has been agreed this plan can only be changed in exceptional circumstances.

SCHOOL START BUDGETS 2016-17

- 8 When schools submit their Start Budgets the reports are given a "credibility" check. This includes checking the report format, that the delegated budget agrees with the information given to the schools by the LA, that all expected items of expenditure and income have been included, that pupil numbers over the plan show a reasonably close match to the projections produced by MIS and that staff/pupil ratios lie within a reasonable range. The start budget report is required to include budget spending plans for at least three years. When the reports are submitted the following year balances are also checked. This is done to establish those schools that may have a budget problem in following years which they may not have addressed at this stage. Early action can then be recommended if necessary.
- 9 Schools will normally plan to spend no more than their resource allocation plus any brought forward surplus in each financial year. However, long term planning may lead a school to wish to set a deficit budget. It is at this stage that a school will apply for approval of a licensed deficit. This application must be accompanied by a five-year budget plan even if the recovery period is less than five years.
- 10 Annex 1 lists the individual schools' start budgets for 2016-17 showing both the 2015-16 brought forward balances used, plus in year and cumulative balances for revenue, capital and in total. All of the figures given in this report are taken from the schools' start budgets.

Deficit in year revenue balances for 2016-17 but surplus cumulative carry forward balances

- 11 These schools are budgeting for a deficit revenue balance in year that will be funded from a surplus balance brought forward from 2015-16 but still leaving a cumulative surplus revenue balance at the end of 2016-17. In total there are 45 schools in this category, 38 primary, 5 secondary and 2 special schools. The total in-year revenue deficit between them amounts to £3,113k. This compares to budgeted surplus revenue balances at the end of 2016-17 for the same schools of £1,627k.
- 12 This indicates that should the same schools budget for similar in-year revenue deficits again in 2017-18 they could not collectively fund these from forecast surplus closing balances in 2016-17.

Revenue Balances for 2017-18 and 2018-19

- 13 All schools are asked to submit a forward budget plan for at least another 2 years beyond the start budget year. Analysis of these plans is provided at Annex 2 to establish those schools that may have a budget problem in the following 2 years but which they may not yet have addressed. Early action can then be recommended if necessary. The sooner action is taken the greater the effect will be whereas delay could result in more severe action being required.
- 14 There are 10 Primary, 2 Secondary and 2 Special schools that are planning in year revenue deficits greater than their surplus brought forward balances so putting them in to a cumulative deficit position for the first time in 2017-18. This is in addition to the 4 schools that are expected to be continuing their licensed deficits from 2016-17.
- 15 The situation for 2018-19, according to the forward plans schools have submitted with their 2017-18 Start Budgets is that 12 Primary schools and a Special schools are planning in year revenue deficits greater than their surplus brought forward balances so putting them in to a cumulative deficit position, totalling £413k, for the first time. This is in addition to the 23 Primary schools, 4 Secondary schools and 2 Special schools that are expected to be continuing their Approved Deficits from 2017-18. This means that by the end of 2018-19 35 (out of 42) Primary schools, 4 (out of 6) Secondary schools and all 3 Special schools are expected to be in a deficit position.

Comparison of budgeted to actual overall cumulative balances

- 16 A comparison of schools' overall cumulative balances in their start budgets with their actual final outturn balances over the last 10 years reveals that they consistently under forecast the latter.

Year	Start Budget	Final Outturn	Variance	% Variance
2006-07	£1,171k	*£4,877k	£3,706k	316.5%
2007-08	£3,008k	£6,593k	£3,585k	119.2%
2008-09	£2,748k	£5,521k	£2,773k	100.1%
2009-10	£1,657k	£6,732k	£5,075k	306.3%
2010-11	£2,665k	£7,360k	£4,695k	176.2%
2011-12	£2,589k	£7,081k	£4,492k	173.5%
2012-13	£3,209k	£5,574k	£2,365k	73.7%
2013-14	£2,917k	£4,425k	£1,508k	51.7%
2014-15	£2,382k	£4,683k	£2,301k	96.6%
2015-16	£2,722k	£5,833k	£3,111k	114.3%
10 year average	£2,507k	£5,868k	£3,389k	135.2%
Average of most recent 2 years	£2,552k	£5,258k	£1,905k	74.6%

* denotes Burnholme CC Sports Partnership balance of £151k excluded.

- 17 Using the average percentage variance based on the most recent 2 years calculated in paragraph 36 above, schools overall cumulative balances may be predicted from their 2016-17 Start Budgets.

Year	2015-16 Start Budget	Average % Variance	Variance	Predicted Final Outturn
2016-17	£2,398k	74.6%	£1,790k	£4,188k
2017-18	£108k	74.6%	£81k	£189k
2018-19	(£2,497k)	74.6%	£1,864k	(£633k)

DEFICIT START BUDGETS APPLICATIONS FOR 2016-17

- 18 Schools that have submitted a start budget showing an overall deficit at the end of 2016-17 and/or a cumulative deficit revenue balance at the end of 2016-17 are deemed to be applying for an approved licensed deficit budget
- 19 Analysis of the 5 schools applying for approval to set deficit budgets in 2016-17 shows all of them to be new requests. The L.A.'s decisions on these requests are shown individually in paragraphs 20 to 24 with a summary of the data given at Annex 3.

Requests for New Licensed Deficits

20 St Paul's Nursery School:

- Consent to a licensed deficit budget of £5.0k in 2016-17, but
- Require the School to submit an amended Start Budget and financial plan by 30th September 2016 that demonstrates a return to a balanced budget within the normal 3 year Term.

21 Ralph Butterfield Primary School:

- Consent to a licensed deficit budget of £14.0k in 2016-17 to be repaid by 31st March 2019,
- Require the School to submit monitoring reports every half Term that update progress against their budget plan, and
- Approve the involvement of the School's nominated Business Support Officer in the budget monitoring process.

22 Wheldrake with Thornganby CE VA Primary School:

- Consent to a licensed deficit budget of £18.5k in 2016-17, and
- Require the School to submit an amended Start Budget and financial plan by 30th September 2016 that demonstrates a return to a balanced budget within the normal 3 year Term.

23 Canon Lee School:

- Require the School to submit a Budget covering the 9 months April to December 2016 by Friday 22nd July 2016, and
- Require the School to submit an amended Start Budget and financial plan by 30th September 2016 that demonstrates a return to a balanced budget within the normal 3 year Term.

24 Applefields Special School:

- Consent to a licensed deficit budget of £4.5k in 2016-17, and
- Require the School to submit an amended Start Budget and financial plan by 30th September 2016 that demonstrates a return to a balanced budget within the normal 3 year Term.

RECOMMENDATION

25 Members of the Forum are asked to note the contents of this Report.

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Report Approved	√
Date Approved	1 September 2016
For further information please contact the author of the Report.	

Background Papers

- 2015-16 & 2016-17 School Start Budgets
- 2015-16 School Outturn Statements
- Local Management of Schools Scheme

Annexes

- 1 - 2016-17 Schools' Start Budget Balances
- 2 - Schools' Forward Revenue Budget Plans per 2016-17 Start Budgets
- 3 - Schools applying for consent to set a Deficit Budget In 2016-17

2016-17 SCHOOLS' START BUDGET BALANCES

ANNEX 1

DFE No.	School	Balances b/fwd from 2015-16			2016-17 Outturn Balances per Start Budgets							
		per Start Budgets			Revenue		C/fwd as % of S251	Capital		Total		
		Revenue	Capital	Total	In Yr Bal	C/fwd		In Yr Bal	C/fwd	In Yr Bal	C/fwd	
1000	St Paul's Nursery inclusive of ERC	10,869	40,357	51,226	(15,653)	(4,784)	(1.36%)	4,663	45,020	(10,990)	40,236	
2000	Acomb Primary	6,077	(667)	5,410	2,571	8,648	1.00%	2,813	2,146	5,384	10,794	
2001	Hempland Primary	88,909	46,440	135,349	(981)	87,928	7.02%	(5,342)	41,098	(6,323)	129,026	
2002	Carr Junior	73,937	(10,759)	63,178	(8,418)	65,519	6.59%	0	(10,759)	(8,418)	54,760	
2003	Carr Infant	128,343	7,839	136,182	(69,907)	58,436	5.58%	(7,839)	0	(77,746)	58,436	
2007	Dringhouses Primary	129,933	(505)	129,428	(61,471)	68,462	7.36%	2,364	1,859	(59,107)	70,321	
2008	Fishergate Primary	69,703	9,701	79,404	(45,912)	23,791	2.31%	56	9,757	(45,856)	33,548	
2012	Park Grove Primary	67,057	90	67,147	4,383	71,440	7.41%	0	90	4,383	71,530	
2013	Copmanthorpe Primary	64,140	17,373	81,513	1,997	66,137	5.48%	(17,373)	0	(15,376)	66,137	
2014	Poppleton Road Primary	64,137	90	64,227	2,599	66,736	5.05%	(90)	0	2,509	66,736	
2015	Yearsley Grove Primary	47,375	9,786	57,161	(43,019)	4,356	0.33%	(1,398)	8,388	(44,417)	12,744	
2017	Westfield Primary	171,196	46,759	217,955	(30,461)	140,735	7.26%	(46,759)	0	(77,220)	140,735	
2018	Clifton Green Primary	62,026	16,869	78,895	(25,020)	37,006	2.46%	(11,828)	5,041	(36,848)	42,047	
2024	Burton Green Primary	46,202	0	46,202	(23,752)	22,450	2.77%	0	0	(23,752)	22,450	
2027	Woodthorpe Primary (inclg Breaka	167,184	16,774	183,958	(131,025)	36,159	2.68%	(16,774)	0	(147,799)	36,159	
2028	Hob Moor Primary	4,239	9,141	13,380	(3,715)	524	0.04%	(9,190)	(49)	(12,905)	475	
2058	Ralph Butterfield Primary	11,948	11,773	23,721	(25,920)	(13,972)	(1.45%)	(11,657)	116	(37,577)	(13,856)	
2169	Skelton Primary	35,509	18,933	54,442	(7,025)	28,484	6.28%	125	19,058	(6,900)	47,542	
2176	Osbaldwick Primary	338,237	(3,083)	335,154	(240,180)	98,057	7.76%	7,722	4,639	(232,458)	102,696	
2227	Stockton on the Forest Primary	53,685	19,450	73,135	(3,187)	50,498	12.12%	(9,999)	9,451	(13,186)	59,949	
2240	Wigginton Primary	61,667	24,236	85,903	(38,952)	22,715	2.59%	(24,236)	0	(63,188)	22,715	
2241	Headlands Primary	43,093	18,178	61,271	(39,591)	3,502	0.36%	(18,178)	0	(57,769)	3,502	
2349	Rufforth Primary	46,383	9,870	56,253	(29,647)	16,736	4.19%	(9,145)	725	(38,792)	17,461	
2386	Bishopthorpe Infant	(834)	537	(297)	1,802	968	0.15%	6,081	6,618	7,883	7,586	
2428	Lakeside Primary	36,569	6,070	42,639	33,490	70,059	6.62%	(6,070)	0	27,420	70,059	
2429	Tang Hall Primary	142,892	610	143,502	(71,357)	71,535	9.41%	(610)	0	(71,967)	71,535	
2430	Clifton with Rawcliffe	64,415	65,053	129,468	(20,939)	43,476	2.33%	(15,337)	49,716	(36,276)	93,192	
2431	Badger Hill	(21,953)	5,697	(16,256)	28,602	6,649	0.94%	(99)	5,598	28,503	12,247	
3002	St.Barnabas' CE Primary	11,590	(1,040)	10,550	(10,717)	873	0.11%	1,040	0	(9,677)	873	
3003	St.Paul's CE Primary	113,402	9,221	122,623	(74,941)	38,461	6.36%	5,913	15,134	(69,028)	53,595	
3151	Dunnington CE Primary	53,880	6,344	60,224	(53,299)	581	0.07%	(6,344)	0	(59,643)	581	
3152	Elvington CE Primary	78,695	(1,399)	77,296	(18,389)	60,306	11.44%	2,620	1,221	(15,769)	61,527	
3156	St.Oswald's CE Primary	136,835	8,627	145,462	(1,469)	135,366	9.57%	(4,647)	3,980	(6,116)	139,346	
3158	Lord Deramore's Primary	67,941	19,124	87,065	(56,880)	11,061	1.62%	(18,660)	464	(75,540)	11,525	
3159	Naburn CE Primary	65,751	9,876	75,627	(27,945)	37,806	10.06%	4,968	14,844	(22,977)	52,650	
3222	St.Mary's CE Primary	50,268	3,868	54,136	(15,659)	34,609	7.58%	(1,704)	2,164	(17,363)	36,773	
3229	Archbishop of York CE Junior	58,952	250	59,202	(22,046)	36,906	4.44%	6,801	7,051	(15,245)	43,957	
3302	Heworth CE Primary	56,251	0	56,251	(21,343)	34,908	6.50%	0	0	(21,343)	34,908	
3305	St.Lawrence's CE Primary	82,703	11,349	94,052	(34,950)	47,753	5.22%	(8,849)	2,500	(43,799)	50,253	
3380	Wheldrake CE Primary	3,745	0	3,745	(22,019)	(18,274)	(2.56%)	0	0	(22,019)	(18,274)	
3401	St.Aelred's RC Primary	89,869	0	89,869	(59,201)	30,668	4.23%	0	0	(59,201)	30,668	
3402	St.George's RC Primary	130,299	0	130,299	(105,955)	24,344	3.20%	0	0	(105,955)	24,344	
3403	St.Wilfrid's RC Primary	55,630	0	55,630	(8,896)	46,734	5.09%	0	0	(8,896)	46,734	
3901	New Earswick Primary	107,416	(900)	106,516	(58,990)	48,426	6.49%	0	(900)	(58,990)	47,526	
3904	Our Lady's Queen of Martyrs'	119,706	0	119,706	(66,344)	53,362	3.69%	0	0	(66,344)	53,362	
45	TOTALS FOR PRIMARIES	3,295,871	451,932	3,747,803	(1,519,731)	1,776,140	4.24%	(206,962)	244,970	(1,726,693)	2,021,110	
4003	Canon Lee	128,079	53,273	181,352	(413,690)	(285,611)	(10.76%)	10,271	63,544	(403,419)	(222,067)	
4063	Huntington	564,880	(365,113)	199,767	(410,372)	154,508	2.35%	365,113	0	(45,259)	154,508	
4153	Fulford inclusive of ERC	197,601	107,639	305,240	(11,208)	186,393	2.98%	(50,910)	56,729	(62,118)	243,122	
4508	Joseph Rowntree	226,872	89,454	316,326	(201,437)	25,435	0.41%	(34,454)	55,000	(235,891)	80,435	
4702	All Saints' RC	(61,563)	0	(61,563)	92,528	30,965	0.53%	0	0	92,528	30,965	
4703	York High School inclusive of ERC	190,518	111,041	301,559	(189,604)	914	0.02%	(111,041)	0	(300,645)	914	
6	TOTALS FOR SECONDARIES	1,246,387	(3,706)	1,242,681	(1,133,783)	112,604	0.36%	178,979	175,273	(954,804)	287,877	
7100	Danesgate Community	130,120	13,646	143,766	(118,295)	11,825	0.42%	(13,646)	0	(131,941)	11,825	
7032	Applefields	(27,707)	24,750	(2,957)	23,463	(4,244)	(0.17%)	(1,747)	23,003	21,716	18,759	
7033	Hob Moor Oaks	221,341	26,521	247,862	(173,230)	48,111	2.60%	(26,521)	0	(199,751)	48,111	
3	TOTALS FOR SPECIAL SCHOOL	323,754	64,917	388,671	(268,062)	55,692	0.78%	(41,914)	23,003	(309,976)	78,695	
	GRAND TOTALS - ALL SCHOOLS	4,866,012	513,143	5,379,155	(2,921,576)	1,944,436	2.41%	(69,897)	443,246	(2,991,473)	2,387,682	

Note : 2015-16 balances b/fwd excludes those of the 2 Primary schools and a Secondary school that converted to Academy status on 1st April 2016.

SCHOOLS' FORWARD REVENUE BUDGET PLANS PER 2016-17 START BUDGETS

DfE No.	School	2017-18		2018-19	
		In Yr Bal	C/fw d	In Yr Bal	C/fw d
1000	St Paul's Nursery inclusive of ERC	(82,156)	(86,940)	(85,894)	(172,834)
2000	Acomb Primary	16,126	24,774	29,518	54,292
2001	Hempland Primary #	27,112	115,040	10,781	125,821
2002	Carr Junior	(84,191)	(18,672)	(81,793)	(100,465)
2003	Carr Infant	(38,426)	20,010	(57,333)	(37,323)
2007	Dringhouses Primary	(23,503)	44,959	(5,258)	39,701
2008	Fishergate Primary	(42,379)	(18,588)	(22,209)	(40,797)
2012	Park Grove Primary	(38,851)	32,589	(38,416)	(5,827)
2013	Copmanthorpe Primary	(4,607)	61,530	(24,511)	37,019
2014	Poppleton Road Primary	(25,294)	41,442	(10,567)	30,875
2015	Yearsley Grove Primary	23,086	27,442	36,581	64,023
2017	Westfield Primary	(61,836)	78,899	(54,080)	24,819
2018	Clifton Green Primary	79,315	116,321	22,952	139,273
2024	Burton Green Primary	18,351	40,801	(20,442)	20,359
2027	Woodthorpe Primary	(49,105)	(12,946)	(137,242)	(150,188)
2028	Hob Moor Primary	(16,246)	(15,722)	727	(14,995)
2058	Ralph Butterfield Primary	1,107	(12,865)	23,860	10,995
2169	Skelton Primary	(27,588)	896	(51,454)	(50,558)
2176	Osbaldwick Primary	(100,528)	(2,471)	(188,143)	(190,614)
2227	Stockton on the Forest Primary	(23,116)	27,382	(23,019)	4,363
2240	Wigginton Primary	(10,256)	12,459	(62,431)	(49,972)
2241	Headlands Primary	(29,772)	(26,270)	(37,831)	(64,101)
2349	Rufforth Primary	(1,357)	15,379	2,425	17,804
2386	Bishopthorpe Infant	(12,941)	(11,973)	(24,151)	(36,124)
2428	Lakeside Primary	(80,818)	(10,759)	(49,386)	(60,145)
2429	Tang Hall Primary	(4,341)	67,194	24,762	91,956
2430	Clifton with Rawcliffe	(43,374)	102	(43,497)	(43,395)
2431	Badger Hill #	16,341	22,990	(1,717)	21,273
3002	St.Barnabas' CE Primary	8,476	9,349	45,981	55,330
3003	St.Paul's CE Primary	(10,932)	27,529	(5,613)	21,916
3151	Dunnington CE Primary	(136)	445	26,870	27,315
3152	Elvington CE Primary	(21,043)	39,263	(50,678)	(11,415)
3156	St.Oswald's CE Primary	26,539	161,905	(27,827)	134,078
3158	Lord Deramore's Primary	(37,704)	(26,643)	(40,274)	(66,917)
3159	Naburn CE Primary	(29,679)	8,127	(50,445)	(42,318)
3222	St.Mary's CE Primary	(681)	33,928	(11,919)	22,009
3229	Archbishop of York CE Junior	(5,490)	31,416	(5,536)	25,880
3302	Heworth CE Primary #	(23,855)	11,053	(41,107)	(30,054)
3305	St.Lawrence's CE Primary	(79,041)	(31,288)	(98,189)	(129,477)
3380	Wheldrake CE Primary	(68,412)	(86,686)	(80,763)	(167,449)
3401	St.Aelred's RC Primary	(30,641)	27	(37,984)	(37,957)
3402	St.George's RC Primary	(5,005)	19,339	(18,682)	657
3403	St.Wilfrid's RC Primary	(43,822)	2,912	(47,175)	(44,263)
3901	New Earswick Primary	(25,092)	23,334	(41,902)	(18,568)
3904	Our Lady's Queen of Martyrs' RC Primary	(39,399)	13,963	(52,176)	(38,213)
45 TOTALS FOR PRIMARIES		(1,005,164)	770,976	(1,405,187)	(634,211)
4003	Canon Lee	(283,192)	(568,803)	(241,012)	(809,815)
4063	Huntington	3,145	157,653	(77,665)	79,988
4153	Fulford inclusive of ERC	56,718	243,111	21,675	264,786
4508	Joseph Rowntree	(63,681)	(38,246)	44,496	6,250
4702	All Saints' RC	66,635	97,600	(42,258)	55,342
4703	York High School inclusive of ERC	(241,350)	(240,436)	(364,273)	(604,709)
6 TOTALS FOR SECONDARIES		(461,725)	(349,121)	(659,037)	(1,008,158)
7100	Danesgate	(25,584)	(13,759)	(83,442)	(97,201)
7032	Applefields	19,258	15,014	(28,530)	(13,516)
7033	Hob Moor Oaks	(373,833)	(325,722)	(428,373)	(754,095)
3 TOTALS FOR SPECIAL SCHOOLS		(380,159)	(324,467)	(540,345)	(864,812)
GRAND TOTALS - ALL SCHOOLS		(1,847,048)	97,388	(2,604,569)	(2,507,181)

Note - # denotes the School now converted to Academy status during 2016-17.

YORK SCHOOLS FORUM FORWARD PLAN			
Title & Description	Report Type	Author	Meeting Date
De-Delegated Budgets for 2017/18 Confirmation of the remaining services and budgets to be de-delegated for 2017/18.	Decision	Richard Hartle	13 February 2017
DSG & Schools Budget 2017/18 Budget setting for 2017/18	Consultation/ Decision	Richard Hartle	13 February 2017
SEN Inclusion Review A report back on the work of the review group.	Information/ Consultation	Gary Robinson	13 February 2017
Early Years Single Funding Formula for 2017/18 Consultation on the LA's proposed formula for 2017/18	Consultation/ Decision	Richard Hartle	13 February 2017
Schools General Contingency Details of how the contingency was allocated in 2016/17	Information	Richard Hartle	03 April 2017
Schools Contract Update A summary of the current set of LA arranged school contracts.	Information	Mark Ellis	03 April 2017
School Outturn Balances 2016/17 Presentation of school balances as at 31 March 2017 and consideration of any clawback of funding from individual schools proposed under the LMS scheme.	Information/ Decision	Paul Shepherd	03 July 2017
DSG & Schools Budget Outturn Report 2016/17 Year end financial report.	Information/ Decision	Richard Hartle	03 July 2017