

YORK SCHOOLS FORUM MEETING

MONDAY 3RD APRIL 2017 – 9.00am–12.00pm
in the Snow Room – G035 – West Offices

Key

	Information and routine business
	Decision
	Consultation

	Item	Item leader	Purpose	Paperwork
1.	Welcome and update on membership	Chair	Routine business	Attached
2.	Apologies	Chair	For information	
3.	Minutes of the Schools Forum meeting of 13 th February 2016	Chair	For approval	Attached
4.	Action Plan and matters arising not on the agenda	Chair	For information	Attached
5.	School Improvement Strategic Commissioning Fund 2017/18	Maxine Squire	For decision	Attached
6.	National Funding Formula Consultation update	Richard Hartle	For discussion	Verbal update
7.	The Apprenticeship Levy	Julia Massey	For information	Attached
8.	Schools Forum forward plan	Richard Hartle		Attached
9.	Any Other Agreed Business	Chair		
10.	Date and time of next and future meetings: 5 th July at 9.00am	Chair	For information	

Please send apologies to Salli Radford by email to salli.radford@york.gov.uk or by calling York 554210.

YORK SCHOOLS FORUM – MEMBERSHIP 2016/17 – FROM MARCH 2017

		Name	Term of office – three years in all cases
Schools members: 13	Four maintained (including VA and VC) primary school members including a governor representative <i>Maintained school / academy representation to be reviewed regularly to ensure compliance with regulations.</i>	Tracey Ralph (Westfield Primary)	01/12/14 – 31/11/17
		Andy Herbert (Clifton with Rawcliffe Primary)	01/12/14 – 31/11/17
		Ben Rich (Governor representative)	13/06/16 – 12/06/19
		Caroline Hancy (Dunnington CE Primary (VC)) – <i>final meeting, will be replaced by Debbie Gover (Poppleton Road Primary) from 4/4/17</i>	01/12/14 – 31/11/17
	Three maintained (including VA and VC) secondary school members	Bill Scriven (All Saints RC School (VA))	01/12/14 – 31/11/17
		Lorna Savage (Fulford School)	01/04/15 – 31/03/18
		Richard Crane (The Joseph Rowntree School)	30/09/16 – 29/09/19
	Three academy members	Brian Crosby (Hope Learning Trust)	01/12/14 – 31/11/17
		Trevor Burton (South Bank Multi Academy Trust)	19/09/16 – 18/09/19
		Andrew Daly (Pathfinder Multi Academy Trust)	20/03/17 – 19/03/20
	One special school member	Cath Hindmarch	01/12/14 – 31/11/17
	One maintained nursery school member	Nicola Fox	01/12/14 – 31/11/17
	One PRU member	Tricia Head	01/12/14 – 31/11/17
Non-schools members: 2	One 16-19 representative	Alison Birkinshaw	01/12/14 – 31/11/17
	One PVI early years representative	Ken McArthur	01/12/14 – 31/11/17
TOTAL MEMBERS: 15		15	

Invitees:	Executive member for Education, Children and Young People	Cllr Stuart Rawlings	
	Director of Children's Services, Education and Skills	Jon Stonehouse	
	Assistant Director, Education and Skills	Maxine Squire	
	Head of Finance	Richard Hartle	
TOTAL INVITEES: 4		4	

Updated March 2017

CITY OF YORK SCHOOLS FORUM

Minutes of the Schools Forum held on Monday 13th February 2017 at 9.00am

Attendance list:

Members:

Brian Crosby	Academy Representative and Chair
Tricia Head	Pupil Referral Unit Representative and Vice Chair
Trevor Burton	Academy Representative
Caroline Hancy	Maintained Primary Headteacher
Andy Herbert	Maintained Primary Headteacher
Ken McArthur	Early Years Sector Representative
Tracey Ralph	Maintained Primary Headteacher
Ben Rich	Maintained Primary Governor Representative
Lorna Savage	Maintained Secondary Headteacher

Observers / Advisors:

Cllr Stewart Rawlings	Elected Member for Education, Children and Young People
Richard Hartle	Head of Finance, City of York Council
Maxine Squire	Assistant Director, Education and Skills
Dave Meigh	Operations Manager [from 10.25am]
Jean Lewis	School Business Support Manager [10.50am]
Salli Radford	Coordinator and Clerk

1. Welcome and update on membership

The Chair welcomed everyone to the meeting. It was noted that Richard Ludlow had resigned from the Forum, creating a vacancy for an academy representative.

2. Apologies for absence

Apologies were received with consent, from Alison Birkinshaw – FE Representative, Nicola Fox – Maintained Primary Representative, Cath Hindmarch – Special School Representative and Jon Stonehouse – Director of Children, Education & Communities. Bill Scriven – Maintained Secondary Headteacher (VA school), was absent from the meeting.

3. Minutes of the York Schools Forum meeting of 29th September 2016

Previously distributed.

The minutes of the meeting of 29th September 2016 were agreed to be a true and accurate record and were signed by the Chair.

4. Action Plan and Matters Arising

With reference to the action plan:

Point 1 – Completed.

Point 2 – Richard Hartle advised that the Teachers Panel had accepted the proposal that they develop an SLA for schools and academies but had requested that the delegation be continued to the end of the 2016/17 academic year to allow time for this to be developed and to prevent any gap in service delivery. The Forum discussed the

request, noting that schools and academies were able to move away from LA models with this presenting a challenge for the unions in reconciling policies across a range of entities. Maxine Squire advised that the unions were considering models in place across other LAs.

In response to a question regarding the partnership between the LA and NYCC to deliver HR services, Maxine advised that there was a proposal to extend current arrangements. The Forum discussed this update, noting the need to consult unions on a range of statutory policies, including the Teachers Pay Policy, and the need for a clear SLA from the unions to ensure compliance by all settings.

The Forum agreed to continue the current Teachers Panel de-delegation until 31st August 2017.

Matters Arising:

With reference to item 9, Cluster Arrangements, Maxine advised that Wellbeing Workers were in place and that £30k had been retained as a SICG contingency fund.

5. Traveller Service De-delegation for 2017/18

Previously distributed. Maxine Squire introduced the item, advising that the additional information requested by the Forum was included in the papers provided and included a community impact assessment. Maxine advised that schools needed to discharge their duties under the Public Sector Equality Duty and that the city had a good record of engagement with the very vulnerable Traveller community. It was noted that there was currently 100% transition from primary to secondary education in this group, with a very low number of NEET young people in comparison to national and regional statistics.

Maxine advised that the Traveller group represented a small number of children but that this very vulnerable group faced significant barriers to learning. It was noted that the report outlined the work done by the service to support inclusion and equality in education and that this work was now extending to asylum seekers including Syrian children arriving in the city. Maxine advised that relationships had been developed by the team over time and now included a network of other agencies and communities, with this being very difficult for schools to replicate.

Maxine outlined the access to Traveller sites negotiated by the Traveller Education Service, with this including a return to learning project for Traveller women. Maxine advised that this project, enabled by the team, supported Traveller children in their engagement with education.

Maxine outlined the impact of the specialist support provided by the service, advising that the decision required was whether or not to continue to support this as a city-wide resource. It was noted that not all schools engaged directly with the service and would not therefore be impacted if it were withdrawn, though this would impact on the overall community. Maxine advised that Traveller families could move within the city and that the retention of a city-wide resource that could be accessed as needed was being recommended. It was noted that the proposed total de-delegation for 2017/18 was £171k.

In response to a question regarding additional funding available to support refugee children, Richard Hartle advised that this was being accessed.

In response to a question regarding a longer-term proposal for service funding which would take into account the cessation of LA funding. Richard advised that, although it was technically possible for the service to be continued on a traded basis, this would present practical challenges. Maxine advised that schools would need to plan for this and ensure that they fully understood the requirements of the Public Sector Equality Duty.

The Forum discussed the de-delegation, with the view being expressed that schools needed a brokering system and that the Traveller Education Service provided a way to engage with the community. It was suggested that costs needed to be reconsidered as these remained locked into a historical formula and was difficult to understand.

It was noted that academies already need to consider buying back the service as they are not included in the de-delegation arrangements.

The Forum further discussed the proposal, noting the variable needs across the city and the need to move towards a new funding model in preparation for the future. Following further discussion Richard advised that it was difficult to offer the service on a traded basis at the current time due to the lack of security that would be provided. Maxine outlined the LA's approach to capacity building rather than direct service delivery.

The Chair advised of the need to plan for a move to a fully-traded model over the next two years.

A Forum member asked whether it would be helpful to provide schools with the opportunity to feedback on the quality of the service in their experience.

Maintained school Forum members considered the de-delegation proposal at length.

The Schools Forum approved the de-delegation of £171k of funding for the Traveller Education Service for 2017/18.

In favour	4
Against	2
Abstentions	0

It was noted that a new model may be required for 2018/19. The Forum noted changing demographics within the city and the challenges that these would bring.

6. Early Years Single Funding Formula for 2017/18

Previously distributed. Richard Hartle advised that the papers provided were for information as the consultation had been responded to by the Early Years Reference Group as agreed by the Forum at its September meeting. It was noted that the group had met three times, with discussion detailed in the papers. Richard advised that LA proposals had been provided to central government. The Forum noted the consultation document and that the proposals had been taken to Council Executive during the week commencing 6th February and had been approved as part of the budget that would be taken to Full Council on 23rd February.

Ken McArthur thanked Jon Stonehouse and Richard Hartle for their work with providers on the consultation response.

Ken advised that the large increase in funding was welcome but that providers still felt it remained below the cost of service provision. The new hourly rate of £4 per hours was noted, with Ken suggesting that the Flexibility Supplement be reconsidered for 2018/19.

It was noted that a deprivation supplement and an increase in the SEND inclusion fund had been introduced, with providers needing to see how these were applied. Ken advised that transparency would be needed to allow this understanding to develop. It was noted that the LA would retain 5% of the funding allocation, 2% below the maximum allowed by the DfE

Ken outlined the complexity of the Funding Formula and the changing relationship with the LA, advising that the group was keen to see the LA reconsider business rates as a way of supporting providers. It was noted that the York pilot was being monitored nationally.

Cllr Rawlings advised that it was important to retain the Flexibility Supplement as an option to address any future requirements, further advising that current guidance was weak. It was noted that the relationship between the LA and providers was strong and would need to continue to work together in the future.

Richard clarified the increases to funding, which totalled c17% for York providers.

In response to a question regarding the SEND fund, Richard advised that the Inclusion Fund was drawn from the Schools Block but that the £20k increase in funding for 2017/18 would be drawn from the Early Years Block.

7. School Funding and the Dedicated Schools Grant for 2017/18

Previously distributed. Richard Hartle advised of the LA's proposal to continue current arrangements as outlined in paragraphs 6, 7 and 8 of the report. It was noted that some technical changes had been made to the Education Services Grant (ESG) which was provided to LAs to fund statutory services to maintained schools and in some cases academies.

It was noted that funding historically provided through the ESG was being transferred to the Dedicated Schools Grant (DSG) from 2017/18 and that LAs were therefore required to seek approval of the Schools Forum in order to retain funding for statutory services. The retained duties funded by the DSG for all schools were noted:

- Director of Children's Services and support
- Planning for the whole education service
- Budget preparation and audit
- Administration of grants
- Monitoring of expenditure
- Maintenance and review of LMS funding formula
- CFO S151 responsibilities
- Consultation costs
- SACRE

It was noted that retention of this funding would bring neutral impact to the DSG.

The Forum discussed the proposal. In response to a question regarding the reduction of the ESG over time, Richard advised that this had already reduced significantly.

In response to a question regarding the provision of services once the Schools Forum functions were no longer required, Richard advised that he anticipates that the funding would dwindle and eventually disappear, though the LA would retain some statutory functions. It was noted that these statutory functions would need to be funded and that a central block would be required from 2018/19.

The general duties funded by the ESG for maintained schools only were noted:

- School Improvement statutory intervention functions
- Budgeting, accounting and financing functions
- Monitoring of compliance with the scheme for financing schools (LMS Scheme)
- Internal audit and other CFO S151 responsibilities
- Consistent Financial Reporting
- Administration of local government pension arrangements
- HR advice to schools on pay and conditions of service
- Investigation and resolution of complaints relating to maintained schools
- Ensuring compliance with health and safety regulations
- Ensuring compliance with equalities legislation
- Inspection of school attendance registers
- General landlord duties for school buildings and premises
- Funding of school staff redundancy costs (in defined circumstances)
- Monitoring of national curriculum assessments

It was noted that these general duties would be transferred into the funding formula.

In response to a question regarding the ability of academies to purchase services back from the LA, Richard advised that this was an option in most cases.

The Forum discussed the proposals. In response to a question regarding the interaction with LA traded services, Richard advised that the services funded by the ESG covered statutory duties only.

It was noted that the following services were funded by centrally retained budgets:

- Pupil Growth & Infant Class Size Fund: £800k
- Falling Rolls Fund: £nil
- School Admissions: £178k
- Servicing of Schools Forum: £42k
- Contributions to Combined Budgets (School Improvement, Schools Causing Concern, Integrated Children's Centres, Broadband Contract, Local Fostering Programme, Safeguarding Advisor): £1,966k
- Termination of Employment Costs: £383k
- Prudential Borrowing Costs: £605k
- SEN Transport: £400k

The Forum further considered the proposals, noting the content.

The Forum confirmed their agreement to maintain the LA's centrally retained budgets at their current levels.

The Forum agreed to the LA's proposals for the retention and de-delegation of former Education Services Grant funding that has transferred into the DSG in 2017/18.

Andy Herbert left the meeting at 10.17am.

It was agreed that items 11 and 12 would be taken next.

11. School Improvement Commissioning Fund update

Previously distributed. Maxine Squire advised that the paper and annexes included detail of the £966k retained by the LA to support sector-led improvement across the city. It was noted that this included £125k of funding managed by the SICG which had been split to include funding for the Wellbeing Workers during the current year.

Maxine advised that the School Improvement Commissioning Fund of £200k was retained for the provision of statutory duties and had been used flexibly in the past to support grade 3 and 4 schools.

It was noted that funding of £641k centrally retained for School Improvement included the funding provided to Ebor TSA for school-to-school support. Maxine advised that there would be an under spend at the year-end at that this would be carried forward into the DSG for 2017/18.

Maxine advised that the impact of the funding was outlined in the report provided to the Forum.

Maxine advised that the LA was planning for the future and acknowledged that relationships were changing, with the move to a National Funding Formula likely to cause some elements of current funding to disappear.

Dave Meigh joined the meeting at 10.25am.

Richard Hartle outlined National Funding Formula agreement and consultation processes, with central government expecting historic funding arrangements to unwind, with centrally retained blocks being clawed back from LAs. It was noted that 2017/18 funding was guaranteed but that funding beyond 2018/19 was uncertain.

Richard advised that approval of retention of the commissioning fund in 2017/18 would be permitted but that new arrangements would supersede current arrangements in 2018/19. Richard recommended retention of the £966k central budget in 2017/18 as dispersal into schools could result in a loss of this resource from 2018/19 under the NFF. Richard advised that it may be possible to retain the funding for longer if the LA could evidence value through outcomes.

Maxine suggested the development of future arrangements over a period of two years, with the continuance of current arrangements enabling the exploration of new systems. It was noted that this would allow the LA to support maintained schools and academies.

Maxine advised that a National School Improvement Fund was being proposed, with a reduced pot of funding to be allocated on a bidding basis. Maxine advised that it was unlikely that the city would be able to access this funding and outlined the benefits of retaining a centralised school-to-school support and CPD fund for York.

In response to a question regarding future school improvement funding when the National Funding Formula replaced the DSG, Richard advised that from 2018/19 funding currently included in the Schools Block would be split between the National Funding Formula and a new Central Schools Block. Richard further advised that central government expected historic costs to unwind beyond 2018/19, with the LA being required to justify the continuance of any centralised funding.

The Forum discussed the information, noting that schools were free to purchase from a variety of providers and options to meet their needs. A number of views were expressed, though the desire to retain funding for the city was generally agreed.

In response to a question regarding the discussion and agreement of allocation of funding by the SICG, Maxine advised that arrangements would need to be further developed if funding was agreed for the next two years.

It was noted that the Pupil Premium project was not funded from the DSG but from Council funding.

The Forum further discussed the proposals, noting that the Pathfinder TSA CPD offer could be delivered by a variety of funding models. It was noted that funding for the CPD offer had provisionally been agreed on the basis that it would end on 31st March 2017.

The Forum agreed to retain the overall allocation of £966k centrally for two further years until the end of 2018/19 but did not agree to continue the current allocation of the funding for 2017/18 as proposed.

The Forum agreed to establish a working group to explore new ways of operating and to agree how the funding would be utilised in 2017/18. Maxine would arrange a meeting shortly. Membership would be:

- Richard Crane
- Brian Crosby
- Tracey Ralph

Ben Rich left the meeting at 10.50am.

12. Future procurement of schools grounds maintenance contract

Previously distributed. Dave Meigh advised that 18 schools currently accessed grounds maintenance via the LA.

The Forum noted that the current contract would end on 31st March 2018 and that the LA did not intend to renew this offer. It was noted that schools were being provided with a year's notice in which to make alternative arrangements.

The Forum endorsed the LA's proposal and thanked Dave for the notice period provided.

The order of the meeting returned to the agenda.

8. School and High Needs National Funding Formula DfE consultation

Previously distributed. Richard Hartle advised that an overview of the consultation had been presented to headteachers and governors during spring term briefing sessions. Richard invited questions from the Forum.

Richard advised that he would draft the LA's consultation response, asking that any comments be forwarded to him in advance of the deadline of 22nd March.

The Forum discussed the consultation, noting the varying impact of the proposed National Funding Formula on York schools, particularly small rural primary schools. It was noted that concerns would be included in the consultation response.

9. The Inclusion Review: Report on how the DSG High Needs funding is currently used in York

Deferred to the July Schools Forum meeting.

10. Academy Conversion Contribution

Previously distributed. Maxine Squire advised that the proposal to request a contribution towards conversion costs incurred by the LA had been taken to the Council Executive, with a cap of £5k for a standard conversion based on officer time. It was noted that complex conversions including those of PFI schools would incur a charge of up to £20k due to the additional costs that would need to be met by the LA. It was noted that this charging scheme was already in place in other areas.

The Forum discussed the charging methodology, noting that no invoice or breakdown of costs had been provided to date and that no SLA was used for the conversion process. It was noted that faith schools also incurred Diocesan fees. Maxine advised that the LA would request a reduced contribution from faith schools as land transfer arrangements incurred fewer costs.

In response to a question regarding school negative balances on conversion, Richard advised that schools undergoing a sponsored conversion left any budget deficit with the LA, whereas converter schools took their deficit with them.

Maxine outlined the reasons for the introduction of charges, which were noted.

Jean Lewis joined the meeting at 10.50am.

13. Revisions to the York LMS Scheme for Financing Schools

Previously distributed. Richard Hartle advised that the scheme had been updated following changes to DfE directions, with the updates adding clarity to detail relating to the suspension of financial delegation. Richard advised that changes to the section on removal of delegation had been made in response to discussion with the Regional Schools Commissioner regarding sponsored academy conversions, during which the RSC had asked the LA to consider removing delegation of funding if appropriate.

The Forum noted the propose revisions and were asked to send comments to Richard by 31st March.

14. Schools Forum forward plan

Previously distributed. The forward plan was noted.

15. Any Other Business

There was no other business.

16. Date and time of the next meeting

The next meeting would take place on 3rd April at 12.00pm. It was agreed that future meetings would be given a three-hour time allocation.

The meeting closed at 11.00am.

Chair

Date

DRAFT

SCHOOLS FORUM ACTION PLAN / ACTIVITY LOG – 2016 / 17

Minute reference	Action	Outcome	Responsibility	Timescale	Status
7 13/02/17	The Inclusion Review: Report on how the DSG High Needs funding is currently used in York – item to be taken to the July Schools Forum meeting.	Full consideration is taken of review outcomes to allow strategic planning for the 2017/18 academic year.	Chair / Clerk	03/07/17	In progress
8 13/02/17	Comments on the School and High Needs National Funding Formula DfE consultation are forwarded to Richard Hartle in advance of the consultation deadline of 22/03/17.	The Forum's views are included in the LA's consultation response.	All	22/03/17	In progress
11 13/02/17	Establish and arrange a meeting of a working group to consider the allocation of the School Improvement Commissioning Fund in 2017/18.	A new operating model is presented to the Forum for approval.	Maxine Squire	03/04/17	Completed
13 13/02/17	Comments on the revisions to the York LMS Scheme for Financing Schools are forwarded to Richard Hartle by 31/03/17.	The Forum's views are taken into account before finalisation of the revised scheme.	All	31/03/17	In progress
5 26/9/16	Information on the proposed de-delegation in support of the Traveller Education Service to be produced for the next Forum meeting.	The Forum is able to understand how de-delegated funds will be used to support the service.	Richard Hartle / Catherine Hemmings	13/02/16	Completed
7 26/9/16	A new traded business model to be requested from the Teachers Panel that takes into account the changes within the city and is accessible to academies.	The Forum is able to cease the de-delegated funding of the Panel whilst ensuring that the new traded model meets the needs of schools and academies.	Maxine Squire	13/02/16	In progress

Updated March 2017

York Schools Forum
Report of School Improvement Sub-Group

3 April 2017

Use of the School Improvement Commissioning Fund in 2017/18

Summary

- 1 At the Schools Forum meeting on 13 February 2017, the following decisions relating to the retention of a School Improvement (SI) Commissioning Fund were taken:

The Forum agreed to retain the overall allocation of £966k, but did not agree to continue current arrangements as proposed.

The Forum agreed to establish a working group to explore new ways of operating. Maxine Squire would arrange a meeting shortly. Membership would be Richard Crane, Brian Crosby and Tracey Ralph.

- 2 This group met on 1 March to explore options moving forward. Andrew Daly (Pathfinder) and Richard Ludlow (Ebor) were invited to attend the meeting. In the event only Andrew Daly was able to attend and provided detailed information about the Pathfinder CPD offer. This report provides information about the use of the funding in previous years and the discussion which took place at the sub-group meeting. It then provides details of three options developed by the sub-group for the forum to consider.

The retained funding

- 3 Since September 2015, school to school support and school-led CPD arrangements, funded through the School Improvement Commissioning Fund, have been operating in York as we have sought to establish sustainable school improvement. In both financial years 2015/16 and 2016/17 the full allocation has not been spent. The under-spend has been reported to Schools Forum and then added to the Dedicated Schools Grant (DSG) allocation for the following year to be distributed through the funding formula to schools. For 2016/17:

- £226k was paid through a contract to Pathfinder TSA for the provision of a citywide CPD offer
- £350k was allocated through a contract to Ebor TSA for the provision of school to school support
- £200k was allocated to the LA for schools causing concern, supporting interventions in the most serious cases
- £125k was allocated to supporting School Wellbeing Workers (£65k), funding to school clusters (£30k) and for projects considered by the School Improvement Commissioning Group (£30k)
- £65k was returned for formula allocation in 2017/18

- 4 Schools Forum agreed, on 13 February 2017, to central retention of the SI Commissioning Fund. The Schools Forum considered the advice of LA officers that it may be possible to sustain the funding for longer under the proposed new National Funding Formula (NFF) if the LA could evidence value through outcomes. Having agreed to retain the funding for 2017/18 it cannot be returned to schools through a formula allocation. Some, or all, of it could be returned to schools on a different basis (most likely in proportion to pupil numbers) for them to commission School Improvement activity themselves.
- 5 The sub-group noted feedback from Schools Forum members, and others, that schools are worried about the transition to a National Funding Formula (NFF). There is a general consensus around not wanting a central School Improvement (SI) / CPD offer to completely disappear but also recognition that current arrangements must change to prepare for the new NFF arrangements. The group reviewed the national policy landscape and its impact on York. This included recognition that there will be a continuing role for Teaching School Alliances, an increasing role for MATs and that SI activity will be school led (school to school).
- 6 Most importantly, the national, regional and local education system is currently in a transitional phase and moving from a situation where a large majority of schools were maintained (up to 2014) to a fully academised system with schools grouped into MATs. This transition will not be complete for several years and presents challenges in terms of accountability and capacity (on current trajectory 50% of York schools will be academies by the end of 2018, covering 56% of pupils).
- 7 In future all schools will be directly accountable to their MATs, but currently there are shared accountabilities for maintained schools causing concern (SCC) between the RSC and LA. Alongside an increasing number of schools in MATs (which should receive support from those MATs), there will be a significant number of maintained schools for the foreseeable future and they will need a source of support. The MATs in York are still developing and have not yet reached a scale which would allow them to fully support their schools (through top slicing budgets) with CPD and SI provision.
- 8 The members of the sub- group therefore concluded that it was in the best interests of the school community and learners in York for there to be some ongoing central resource. Recognising that the transition from maintained schools to MATs is taking place simultaneously with the transition to a new funding formula, it also saw an opportunity to adapt the existing model so that it:
 - supports the development of sustainable future arrangements across the York MATs.
 - could provide evidence of positive impact and demonstrate value, increasing the chances of the city being able to maintain some centrally resourced, collaborative arrangements.

Options for 2017/18

- 9 A prompt decision is needed so that all concerned can plan effectively for the academic year 2017/18. In the case of the TSAs currently contracted under existing arrangements, this includes HR implications around the Pathfinder Teaching School staff. Hence the working group decided to present 3 options:

- No central resource –the centrally retained funding should be returned to schools for them to commission their own SI provision.
- A transitional model – reducing the amount of centrally retained funding (and so returning part of the retained funding to schools as above). This would involve a new model of provision providing a reduced core CPD offer and funding for school to school allocated to the LA (for maintained schools) and to the MATs (for their academies and maintained schools in the process of conversion to join them).
- Maintaining the existing allocations from the centrally retained funding but amending the delivery and accountability arrangements in light of experience from September 2015 to April 2017.

10 Under all the models presented below, it is assumed that £65k, an amount equal to the underspend returned to DSG for 2017/18 will in any case be returned to schools. Schools Forum will have to make a separate decision around continuing a contribution of £65k to the School Wellbeing Workers. The total funding considered here is therefore £901k.

Option 1 – No central resource

- 11 This model would mean that there would be no central resource to support CPD and SI activity. On a city wide basis the very limited training to support statutory assessment in EYFS, KS1 and KS2 would continue, but all other elements of the current Pathfinder offer would cease immediately (Easter 2017). Schools would be dependent on the wider marketplace, and liable for the associated costs, to meet their CPD needs.
- 12 Similarly, schools and MATs would be responsible for commissioning SI support to address areas for improvement and to respond to risks, including those identified by the LA in its statutory role to ensure high standards of provision for all children. In the case of schools with serious issues, additional intervention funding would only become available if a maintained school received a Special Measures Ofsted judgement. Following such a judgement the school becomes a sponsored academy and the sponsor receives limited funding from the RSC. This would prevent early intervention taking place to address emerging weaknesses.
- 13 As stated above, any funding returned to schools could not be distributed using the LMS funding formula because it has been retained for a specific purpose i.e. school improvement. Annex 1 provides information about the amount which would be returned to each school in proportion to pupil numbers.

Option 2 – A transitional model

- 14 This model recognises that Pathfinder TSA has now developed facilities to support the delivery of a city-wide training offer; some of the centrally retained resource has been used to develop this resource for the city. It also recognises that there are a variety of other sources of training in non core areas (eg. Arts, Culture, etc) and that TSAs (and other organisations) have offers in this space. A core offer would address priorities where performance needs to improve in York schools and be targeted to make a difference to outcomes (a programme of “what’s needed”, which may differ

from “what individual schools want”). Annex 2 sets out the scope of a potential core offer in 2017/18 delivered with the support of £150k of retained funding (a reduction of £76k, or 34%). It also sets out plans for subsequent years with further reductions in support funding, reduced costs and increased income from course attendance. This has been developed by Pathfinder taking into account feedback from schools via the cluster arrangements.

- 15 The working group acknowledged that SI activity needs to be both proactive and reactive, ie. based on direct intervention in schools causing serious concern and also supporting the development of capacity to improve aspects of a school’s performance which represent a risk if not addressed. For school to school support and intervention arrangements it was proposed that:
 - £200k of retained funding to be allocated to the LA to provide support and intervention in maintained schools.
 - £200k of retained funding to be allocated to MATs to provide support and intervention in their schools or in train to join them.
- 16 The funding to MATs would be allocated in proportion to need (e.g. number of schools causing concern), not in proportion to size/pupil numbers. Determining the allocation will therefore require the collation and sharing of relevant information on school performance between MATs and the LA. It would also need to take account of the funding MATs receive from the RSC to support schools in category - York arrangements cannot “double fund”. Collectively the MATs, working in partnership with the LA, would have to agree how the funding would be used. As stated above, the proportion of schools which are now academies or in train to be so is now 50%.
- 17 In 2015/16, the LAs schools causing concern funding (£200k) and the ETSA contract (£350k) used a total of £550k. The £400k proposed above represents a reduction of £150k, or 27% in the money allocated for direct, school based improvement work.
- 18 This model would include continuation of cluster funding (£30k) and a fund to support groups of schools undertaking work to address city-wide improvement priorities (also £30k). The remaining funding would be returned to schools. Annex 1 provides information about the amount which would be returned to each school in proportion to pupil numbers (the same basis as in option 1).
- 19 This model maintains a central resource at a significantly reduced cost, recognising that York is in transition to a MAT based system and to new national funding arrangements. It provides opportunities to develop new ways of collaborative working between MATs and for schools to take significant responsibility for their own improvement activity using funding distributed to them for that purpose. If the transitional model can demonstrate positive outcomes and value for money, it could convince the DfE and RSC that a local resource can make a difference and is worth supporting.

Option 3 - Maintaining the existing allocations

- 20 The sub- group acknowledged the strength and quality of the current Pathfinder CPD offer, but also recognised that it was used more heavily by primary than secondary schools. However, there are parts of the existing offer, for example secondary

subject leader groups, which have been valuable and greatly supported the transition to new GCSEs. Through active engagement with schools and the local authority, Pathfinder is responsive to need in terms of core priorities but also able to identify schools' other common areas of interest.

- 21 During the four full terms that the ETSA contract has operated, changes which need to be made have been identified. The layered accountability involving the TSA, LA and provider, has not been clear enough, for example. The sub- group also reflected that the original National Strategies model involved expert practitioners working in SI for a limited period (2 years) before returning to school. If the existing arrangements could be altered to adopt such an approach it would ensure that the colleagues working on school to school support had up to the minute experience of the issues facing schools. It would also provide a development opportunity for those individuals and support succession planning / staff development in schools and MATs.

Additional contextual information on the cessation of the Pathfinder allocation

- 22 The Pathfinder funding allocation is made on a financial year basis for a programme which runs across an academic year. This means that ceasing the allocation at the end of a financial year leaves a planned summer term programme, which has already incurred costs and staff in place to support its delivery, with no financial support. There is, therefore, an additional term's funding required to smoothly wind down the programme and manage the HR implications. This amount will be minimised if added to the third year of reduced funding proposed by Pathfinder in Annex 2.

Supporting a transition to MAT and school led SI activity

- 23 In order to stimulate thinking about the future of CPD at school, MAT and city level and to provide support for schools as they develop plans to spend their possible additional allocation of SI funding, the LA and Pathfinder will be holding a one day conference in June. This will include an input from David Weston (Chief Executive of the Teacher Development Trust). High quality arrangements to support staff development and succession planning are critical issues for the York system to ensure that schools can maintain their improvement in the face of an increasingly challenging operating environment created by teacher shortages, on-going changes to the curriculum and assessment and financial austerity.

Recommendations

- 24 Members of the forum are asked to consider the options presented by the sub-group for deployment of £901k of the centrally retained School Improvement funding and:

Decide which should be taken forward

or

Request that the sub-group undertake further work to work to refine one of them

Contact Details Authors:	Chief Officers Responsible for the Report:
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For further information please contact the author of the report	

ANNEX 1

School	Phase	Pupil Numbers	Allocation	Allocation	Allocation
			£	£	£
			Option 1	Option 2	Option 3
St Paul's Nursery School	Nursery	6	237	77	17
Acomb Primary School	Primary	293	11,573	3,738	835
Archbishop Of Yorks CE Junior	Primary	248	9,795	3,164	707
Badger Hill Primary School	Primary	195	7,702	2,488	556
Bishopthorpe Infant School	Primary	172	6,793	2,194	490
Burton Green Primary School	Primary	160	6,319	2,041	456
Carr Infant School	Primary	250	9,874	3,189	712
Carr Junior School	Primary	281	11,099	3,585	801
Clifton Green Primary School	Primary	390	15,404	4,975	1,111
Clifton with Rawcliffe Primary School	Primary	603	23,817	7,692	1,718
Copmanthorpe Primary School	Primary	397	15,680	5,064	1,131
Dringhouses Primary School	Primary	290	11,454	3,699	826
Dunnington Ce Primary School	Primary	254	10,032	3,240	724
Elvington Ce Primary School	Primary	149	5,885	1,901	425
Fishergate Primary School	Primary	287	11,336	3,661	818
Haxby Road Primary Academy	Primary	197	7,781	2,513	561
Headlands Primary School	Primary	302	11,928	3,852	861
Hempland Primary School	Primary	426	16,826	5,434	1,214
Heworth CE Primary School	Primary	144	5,688	1,837	410
Hob Moor Community Primary School	Primary	280	11,059	3,572	798
Huntington Primary School	Primary	418	16,510	5,332	1,191
Knavesmire Primary School	Primary	415	16,391	5,294	1,182
Lakeside Primary School	Primary	283	11,178	3,610	806
Lord Deramores Primary School	Primary	197	7,781	2,513	561
Naburn CE Primary School	Primary	81	3,199	1,033	231

ANNEX 1

School	Phase	Pupil Numbers	Allocation £	Allocation £	Allocation £
New Earswick Primary School	Primary	181	7,149	2,309	516
Osbalwick Primary School	Primary	302	11,928	3,852	861
Our Lady Queen of Martyrs Primary School	Primary	390	15,404	4,975	1,111
Park Grove Primary School	Primary	268	10,585	3,419	764
Poppleton Ousebank Primary School	Primary	436	17,221	5,562	1,242
Poppleton Road Primary School	Primary	390	15,404	4,975	1,111
Ralph Butterfield Primary School	Primary	325	12,836	4,146	926
Robert Wilkinson Primary School	Primary	601	23,738	7,667	1,712
Rufforth Primary School	Primary	93	3,673	1,186	265
Scarcroft Primary School	Primary	341	13,468	4,350	972
Skelton Primary School	Primary	80	3,160	1,021	228
St Aelreds RC Primary School	Primary	198	7,820	2,526	564
St Barnabas CE Primary School	Primary	152	6,004	1,939	433
St Georges RC Primary School	Primary	174	6,872	2,220	496
St Lawrences CE Primary School	Primary	195	7,702	2,488	556
St Marys CE Primary School	Primary	119	4,700	1,518	339
St Oswalds CE Primary School	Primary	314	12,402	4,006	895
St Paul's CE Primary School	Primary	173	6,833	2,207	493
St Wilfrids RC Primary School	Primary	263	10,388	3,355	749
Stockton On The Forest Primary School	Primary	92	3,634	1,174	262
Tang Hall Primary School	Primary	191	7,544	2,436	544
Westfield Community Primary School	Primary	526	20,775	6,710	1,499
Wheldrake CE Primary School	Primary	226	8,926	2,883	644
Wigginton Primary School	Primary	272	10,743	3,470	775
Woodthorpe Primary School	Primary	392	15,483	5,001	1,117
Yearsley Grove Primary School	Primary	367	14,495	4,682	1,046

ANNEX 1

School	Phase	Pupil Numbers	Allocation £	Allocation £	Allocation £
All Saints RC School	Secondary	892	35,231	11,379	2,542
Archbishop Holgates CE School	Secondary	1,119	44,197	14,274	3,188
Canon Lee School	Secondary	522	20,617	6,659	1,487
Fulford School	Secondary	1,099	43,407	14,019	3,131
Huntington School	Secondary	1,181	46,646	15,065	3,365
Joseph Rowntree School	Secondary	1,060	41,867	13,522	3,020
Manor CE Academy	Secondary	1,029	40,642	13,126	2,932
Millthorpe School	Secondary	998	39,418	12,731	2,844
York High School	Secondary	740	29,228	9,440	2,109
Applefields Special School	Special/AP	88	3,476	1,123	251
Hob Moor Oaks Special School	Special/AP	144	5,688	1,837	410
Danesgate Community	Special/AP	161	6,359	2,054	459
Total		22,812	901,000	291,000	65,000



Pathfinder Teaching School Alliance – CPD Rationale and Overview

“The wisest school and system leaders have always known that teacher development requires investment and priority. Whether seeking to improve teaching or to bring in new resources or ideas, there can be no success without a deep commitment to invest time and resource to develop the expert practice that our pupils deserve.” (The CPD Standards July 2016)

For the past four terms the Pathfinder Teaching School Alliance has worked in partnership with the Local Authority and schools across the city to deliver high quality CPD provision that is school led, high impact and cost effective. Whilst we are proud of what we have we have achieved we have already identified in the paper produced for School Forum the need for this offer to adapt to the rapid pace of change.

Pathfinder has received significant funding from Schools Forum which has enabled us to build capacity within the system, to provide a comprehensive suite of courses and training and to be able to host training in a facility where colleagues can come together and receive high quality professional development. The provision offered by Pathfinder is heavily subsidised by this funding which includes courses at affordable rates, undersubscribed courses still being delivered, dedicated CPD Leads for both Primary and Secondary Phases, Coordinated Subject Leader and Senior Leader Networks, Headteacher Networks and a series of conferences including; Headteachers, Mental Health and EYFS.

We have been really pleased by the engagement with our programmes and feel that the low price and high quality are key to this. During 2015-16 all primary schools across York accessed training and to date this year 52 have engaged with the process. All secondary schools currently benefit from the 21 subject/senior leader network meetings (costs of these are equivalent to about £5000 per school). We are also delighted that so many colleagues who work in schools are involved in the delivery of these programmes.

In the current climate schools are facing a considerable number of pressures; not least funding. However, as we transfer to a future model based around the Multi Academy Trust we believe there is a continued need for some centrally organised CPD provision and without an element of funding our programme would contract considerably and courses offered would need to be marketed at an unsubsidised rate which would be prohibitive to schools in the current and future financial climate.

It seems as if the choice to be made in terms of CPD can be summarised as:

Option 1 – Continue with the existing funding model which would allow the delivery of both core and additional programmes at current rates

Option 2 – Look at a reduced and tapered over time model based around a subsidised core offer which is described on the next page.

Option 3 – Funding is de-delegated back to schools with procurement based around the open market and a range of providers

As the local and national landscape continues to evolve we believe that Pathfinder can continue to play an important role by offering a more streamlined high quality Core CPD offer which will be available to all York schools at the subsidised rate. Professional development and the professional capital colleagues get from this is important as we strive to ensure that the teachers and leaders in our schools remain informed, motivated and energised.

Possible Core and Additional Offer

Training 2017-18

Core Offer Subsidised training	
Primary	<ul style="list-style-type: none"> • Subject leader Mornings: English, Maths, Science x 1 per term • SENDCo day: x1 per term • Statutory standards and assessment updates: EYFS/2/6 • 'New to' statutory year groups – EYFS/2/6 • Primary NQT programme • Moderation sessions of reading and writing- statutory year groups 2/6 • Addressing local priority as identified by LA and National Data • <i>Narrowing the gap</i> • <i>SEN Progress</i> • <i>KS1-KS2 Higher Attainers</i> • <i>KS2 Writing</i> • EYFS conference • EYFS Leaders network
Secondary	<ul style="list-style-type: none"> • Subject Leader Network Meetings • Senior Leader Network Meetings • Addressing local priority as identified by LA and National Data <ol style="list-style-type: none"> 1. <i>Pupil Premium</i> 2. <i>SEND</i> • NQT programme
Cross phase	<ul style="list-style-type: none"> • Headteacher Breakfasts (once a month) • Termly teach meets • Headteacher conference • Mental Health conference • Mental Health Training
Additional offer Non-subsidised training	
Primary	<ul style="list-style-type: none"> • Phonics in action • Writing in action • Maths in action • RQT programme • Middle Leader programme
Secondary	<ul style="list-style-type: none"> • RQT programme • Middle Leader programme • Senior Leader programme • Archbishop of York Leadership programme
Cross phase	<ul style="list-style-type: none"> • TA specific training opportunities • ELSA training



COST ESTIMATES: Delivery of CPD

	Year1		Year2		Year3		Year4	
	Sep 17-Aug 18		Sep 18-Aug 19		Sep 19-Aug 20		Sep 20-Aug 21	
	Days	Cost	Days	Cost	Days	Cost	Days	Cost
	p.w	£ 000	p.w	£ 000	p.w	£ 000	p.w	£ 000
1. STAFFING COSTS								
<i>(a) Management</i>								
- A Daly	0.5		0.5		-		-	
- J Capper	1.0	70	0.5	65	0.5	51	0.5	45
- K Sowter	3.0		3.0		3.0		2.5	
<i>(b) School Focus</i>								
- A Bailey (Primary)	3.0	50	3.0	51	2.5	39	2.5	40
- C Gladstone (Secondary)	1.0		1.0		0.5		0.5	
<i>(c) Administration/Support</i>								
- J Battersby	3.0	20	3.0	21	3.0	21	3.0	21
- Admin/Finance	1.0		1.0		1.0		1.0	
TOTAL STAFFING COSTS	12.5	140	12.0	137	10.5	111	10.0	106
2. DELIVERY COSTS								
Primary (External staff/delivery)		20		22		24		20
Secondary (Subject Leader Payments)		30		30		30		20
TOTAL DELIEVERY COSTS		50		52		54		40
3. SUPPORT COSTS								
- Website, ICT								
- Promotional Materials		20		21		21		19
- Catering (events)								
- Office Consumables								
TOTAL SUPPORT COSTS		20		21		21		19
TOTAL COSTS		210		210		186		165
4. INCOME FROM COURES								
- Income from Core Offer		(60)		(90)		(113)		(141)
- Income from Secondary (Subject Leads)				(20)		(24)		(24)
TOTAL SUPPORT COSTS		(60)		(110)		(137)		(165)
NET COST OF SERVICE		150		100		50		0



FUNDING: Academic Year (Apr-Mar)							
	Sep-Aug 2016/17	Sep-Aug 2017/18	Sep-Aug 2018/19	Sep-Aug 2019/20	Sep-Aug 2020/21	Sep-Aug 2021/22	
Staffing Costs		140	137	111	106		
External Delivery Costs		50	52	54	40		
Support Costs		20	21	21	19		
Income		(60)	(110)	(137)	(165)		
Net Cost of Provision	226.8	150	100	50	0		
FUNDING: LA Financial Year (Apr-Mar)							
	Apr-Mar 2017/18	Apr-Mar 2017/18	Apr-Mar 2017/18	Apr-Mar 2018/19	Apr-Mar 2019/20	Apr-Mar 2020/21	Apr-Mar 2021/22
Net cost of Provision	226.8	226.8	182	121	71	21	0
Agreed Funding							
Funding Requested							

	Agenda Item: 7
SCHOOLS FORUM – FOR INFORMATION	Date of meeting
Apprenticeship Reforms, Funding and Levy – Implications and Support for Schools in York	3.4.17

Introduction / Background:

From April 2017, the way the government funds apprenticeships in England is changing as part of a series of wider reforms to the apprenticeship system and apprenticeship training. Some employers will be required to contribute to a new apprenticeship levy, and there will be changes to funding for apprenticeship training for all employers.

This paper provides information specific to York schools, how the apprenticeship levy and public sector target apply to schools, what the levy can be used for and City of York Council contacts for further guidance and support.

Apprenticeship Levy policy:

With effect from 1st April 2017, an apprenticeship levy of 0.5% will be applied to employers with payroll costs over £3mn and collected by HMRC on a monthly basis.

LAs will also be responsible for a 0.5% levy charge for all LA maintained schools (regardless of payroll size) with the exception of VA schools. This responsibility currently includes 41 schools in York (see list attached).

Public sector targets have also been set for organisations with 250+ employees, to achieve 2.3% apprenticeship starts based on headcount. For CYC this target includes headcount for LA maintained schools.

Apprenticeship starts = new apprentice employees **and** existing staff (of all ages, levels of experience, full or part-time) who benefit from ‘starting’ apprenticeship training to upskill or re-train.

Levy money can be used, from May 2017, to cover the costs of apprenticeship **training and assessment only** (not salaries) for new recruits & existing staff.

At the end of each month, levy payers eg: CYC, will receive a 10% top-up to the levy contributions made that month to spend on apprenticeship training.

Access to the levy monies will be via a single Digital Apprenticeship Service (DAS) account for CYC to cover demands from CYC core & LA maintained schools.

Policy implementation for collecting the Levy from schools:

From April 2017 CYC payroll will recoup the 0.5% levy contributions from each of York's LA maintained primary and secondary schools (exc VAs), on a monthly basis, in proportion to the value of their payroll.

This will include a recharge to Huntington Secondary School and Ralph Butterfields primary school who do not use the CYC payroll service.

For VA schools, single academies and multi-academy trusts with a pay bill of more than £3mn, the school or trust is responsible for paying HMRC and registering for the (Digital) Apprenticeship Service to access the funds.

Process for LA Maintained schools (exc VAs) accessing the levy pot:

From May 2017, if a LA Maintained school wants to recruit an apprentice or use apprenticeship training to support the development of their existing staff, access to the funds to pay for the training and appoint a training provider is via the single CYC Digital Apprenticeship Service account, administered centrally by CYC.

CYC Independent Brokerage Support for Schools:

- Julia Massey, Learning City Partnership Manager (Education & Skills)
E: julia.massey@york.gov.uk
M: 07769 640241
- Melody Hardcastle, Project Support Assistant (Education & Skills)
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T: 01904 553732

Support for other Levy paying or non-levy paying schools

In the first instance, please contact Julia Massey, Learning City Partnership Manager (Education & Skills)

Additional Financial Support for Apprenticeship Developments

Additional grants can be drawn down for the recruitment of apprentices aged 16-18 (£1000) and young people, aged 16-24, who are care leavers or with learning difficulties and disabilities (£1,000).

These payments are made to the employer at months 3 and 12 of the apprenticeship employment contract via the training provider.

CYC (as the DAS account holder and employer) will set up the administrative process for receiving and re-distributing these grants to recruiting LA maintained schools.

Other city-wide Support for Apprenticeship Developments in York

The Education & Skills team, City of York Council also facilitate the city-wide **York Apprenticeship Hub** - a partnership with training providers, Local Enterprise Partnerships, National Apprenticeship Service and other agencies to raise awareness and the take-up of apprenticeships amongst young people (16-24), adults and employers (large and small) in York. Activities include a menu of apprenticeship inputs for young people in schools and staff; Apprenticeship Recruitment Events; York Apprenticeship Facebook and weekly e-vacancy bulletin; York Apprenticeship Graduation & Awards Event; sign-posting to funded services and activities available to support apprenticeship developments.

Illustrative costs for levy calculations (CYC core & LA Maintained Schools):

As at 1st April 2017, there will be **41** LA maintained schools (excluding VA schools, but including Huntington and Ralph Butterfields, who do not use the CYC payroll service). This equates to an estimated combined total levy liability for CYC as follows:

	CYC 'Core'	Schools	Total
Projected annual levy cost & available to reinvest (plus 10% uplift from Gov.)	£342,805	£177,465	£520,270
Approx. 2.3% Public Sector Target (based on 16/17 headcount)	61	54	115
2016/17 Apprentice headcount (new starters & training for existing staff)	27+9 in progress (21 new starts + 9 6 existing staff)	6 (3 new starts + 3 existing)	42

Note:

New starters in schools in 2016-17 = TAs; Business Admin;
CPD for existing school staff = supporting teaching & learning (L3); playwork (L2)

Levy Calculations and Public Sector Targets Continued:

The estimated annual levy payment from schools and funds available to access for apprenticeship training and assessment will decrease as LA maintained schools convert to academies / MATs and become responsible for their own levy payments to HMRC. This will require close monitoring, tracking & reprofiling.

Levy payments are made on a monthly basis, with a rolling expiration period of 24 months.

Public sector targets will also vary as schools convert to academies and / or the LA core headcount contracts. Targets are to be reported to Government as an average over 4 years from 2017/18 to 2020/21, 6 months after the end of each financial year.

Managing and Maximising the Benefits of the CYC Apprenticeship Levy (core & LA Maintained school)

To maximise the benefits of the apprenticeship levy to meet the skills, succession planning and talent needs of the Council, schools and the city's new Skills Plan, the following activities, support and principles will be adopted:

1. 'CYC Apprenticeship Levy & Reforms Task Group' to be set up to:

- Continue to develop communications, principles & processes to support apprenticeship developments for CYC 'core' and schools
- Monitor and report on spend / potential underspend / additional spend (over and above levy pot) as well as progress against public sector targets
- Chaired by Maxine Squire (Assistant Director, Education & Skills) with reps from HR, WDU, Education & Skills (Schools/city-wide developments), Business Intelligence Unit

2. CYC Independent Brokerage and Support for Schools:

- Julia Massey, Learning City Partnership Manager
E: julia.massey@york.gov.uk
M: 07769 640241
- Melody Hardcastle, Project Support Assistant (Education & Skills)
E: melody.hardcastle@york.gov.uk
T: 01904 553732

3. Apprenticeship Options and Toolkit for York Schools

- Developed by York Apprenticeship Hub, Education & Skills Team
- To cover practical information including the range of apprenticeships relevant to schools either already developed or currently under development; training costs; wage costs of new apprentice recruits; local case studies; etc
- Available for Schools Forum on 3.4.17

4. Principles regarding the 'use' of the levy pot between CYC core & LA maintained schools, in terms of spend, underspend and / or overspend:

- Whilst the CYC payroll & levy account will not separate out CYC 'core' and 'schools', CYC will set up a system to track indicative values for levy collection and demand on spend by CYC 'core' and schools
- CYC Education & Skills Team to work with schools to scope potential demand against the schools' 'pooled' levy pot
- Agree a principle of access to the levy pot by schools in relation to demand and need not in proportion to levy paid
- Schools' levy funds (at risk of expiring) can be used elsewhere in CYC to support the costs of apprenticeship training for new recruits or existing staff,

and vice versa

- If a school becomes an academy, VA, free school or foundation school (part through a tax year),
 - the academy's governing body or trust will be responsible for the apprenticeship levy from this point
 - the levy contributions already made via CYC are not transferable
 - the liability of any outstanding training costs for staff undertaking apprenticeship training (either as a new starter or existing staff development) are transferred to the academy or Trust
- If the cumulative levy funds of CYC (core + schools) is at risk of expiring, a pragmatic approach to manage under-spend will be applied, with CYC seeking to retain levy investment within the local economy, exercising the opportunity to use 10% of levy payments to support training costs of our supply chain (from April 2018)
- If demand for accessing apprenticeship funds exceeds the levy pot, CYC / schools can access co-investment, whereby government pays 90% of the training and assessment costs and a 10% contribution is required from the school or CYC service area

5. Better apprenticeship outcomes for care leavers and other vulnerable groups of young people – a 'task group' (Chaired by Jon Stonehouse) is to be set up to focus on this agenda for opportunities at CYC, our remaining maintained schools and with other employers across the city.

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Date: 21.3.17	Report Approved:
For further information please contact the author of the report	

YORK SCHOOLS FORUM FORWARD PLAN

Title & Description	Report Type	Author	Meeting Date
<p>Schools General Contingency Details of how the contingency was allocated in 2016/17</p>	Information	Richard Hartle	03 July 2017
<p>LMS Formula Review Sub-Group Update Update on the work of the sub-group including any proposals to amend the LMS formula for 2018/19.</p>	Consultation	Richard Hartle	03 July 2017
<p>School Outturn Balances 2016/17 Presentation of school balances as at 31 March 2017 and consideration of any clawback of funding from individual schools proposed under the LMS scheme.</p>	Information/ Decison	Paul Shepherd	03 July 2017
<p>DSG & Schools Budget Outturn Report 2016/17 Year end financial report.</p>	Information/ Decison	Richard Hartle	03 July 2017